



# Sharing Information between Behavioral Health and Criminal Justice Systems

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Coordinating Council

***March 31, 2016***

# Overview

**Needs and Challenges for Information Sharing**

**Example of a Successful Information Sharing Collaboration**

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**Questions**

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# What is an information sharing need?

*“We never know if our clients are in jail” – Community Behavioral Health Clinician*

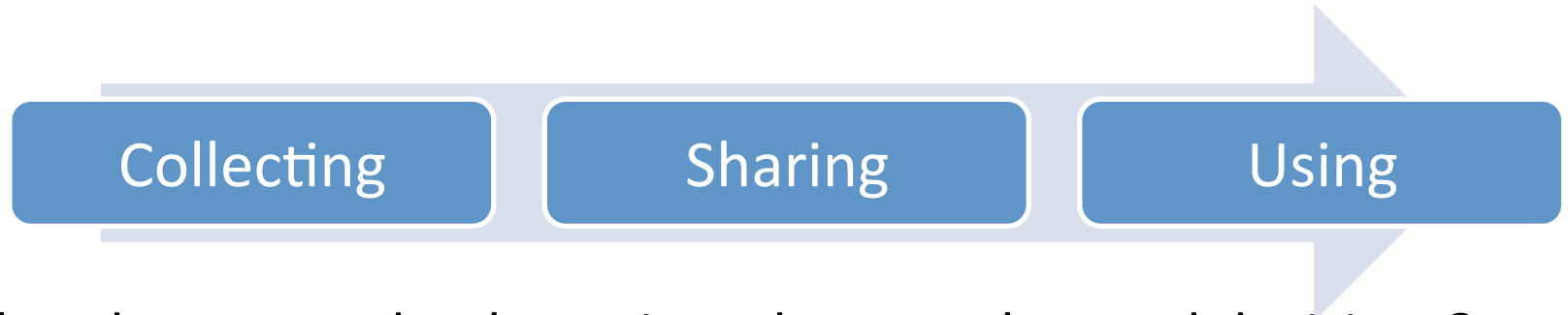
*“We don’t know how many people screen positive for mental illness in our county jail” – Mental Health Court Coordinator*

*“We have HIPAA problems” – Mental Health Court Coordinator*

*“I supervise a specialized caseload for people with mental illness and I would like for the assigned therapist to coordinate services with me” — Probation Officer*

*“I will be developing a plan for pretrial supervision and I need to know if the individual should be connected to mental health services” — Pretrial Release Officer*

# Your Information Strategy



- What do you need to know in order to make good decisions?
- Who has access to this information?
- How do your policies and procedures facilitate the appropriate collection, sharing, and use of information?
  - Do people know what they need to collect?
  - Do they know the legal frameworks that affect information collection, sharing, and use?
  - Do they have policies, processes, systems in place to help?
  - Do they have the training they need to do this well?

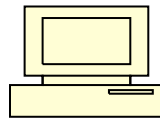
# Diagnosing Information Sharing Barriers



Knowledge



Legal



No process to capture data

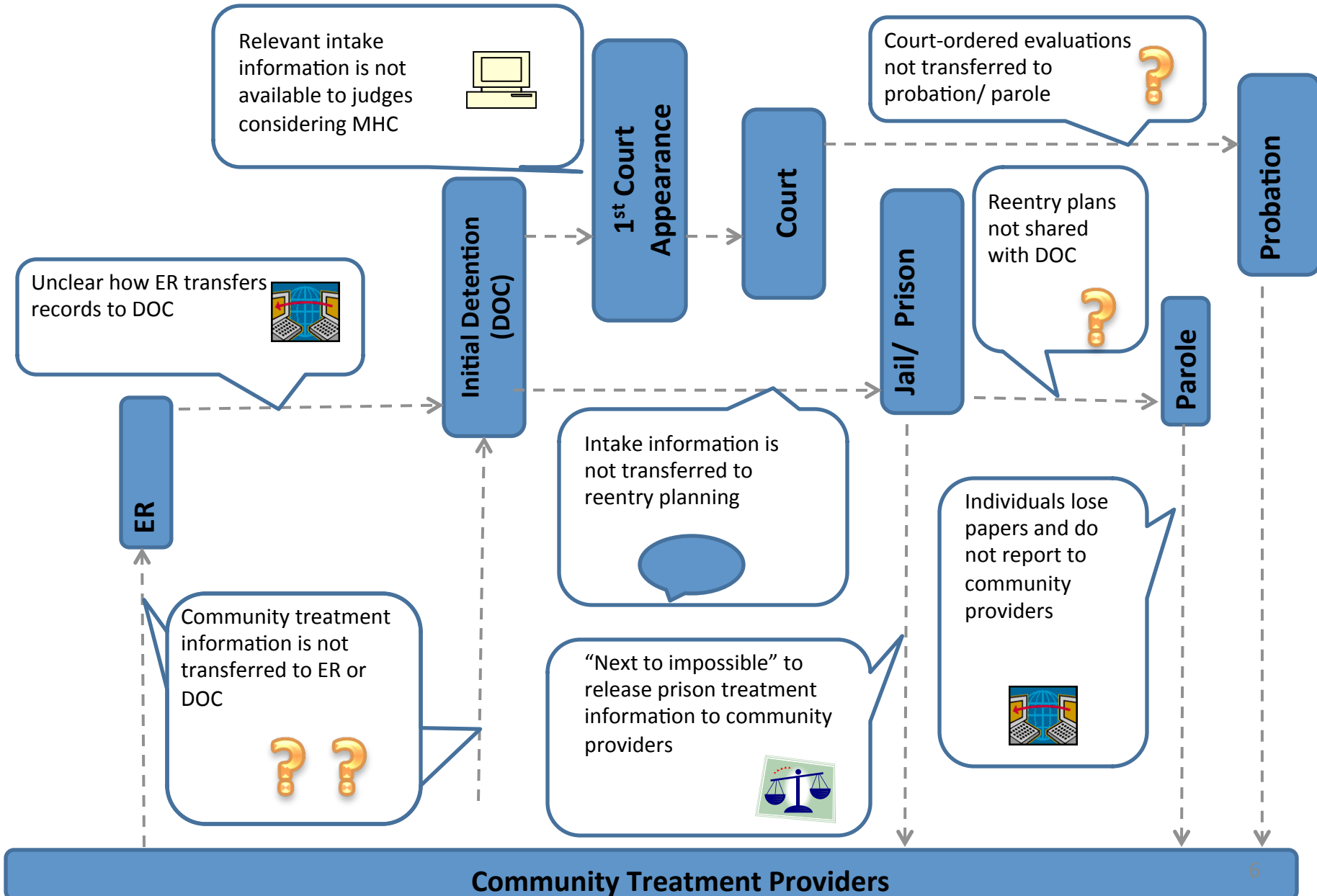


No system to transfer



Unidentified obstacles

# Classify barriers identified



# Relevant Sources of “Law”

## **Federal Statutes and Regulations**

- Health: HIPAA/ 45 CFR 164
- Substance Use: 42 CFR Part 2
- Educational Records: Federal Educational Rights and Privacy Act (FERPA)

## **State Statutes and Regulations**

- Information privacy
- Duty to report
- Duty to warn

## **Professional standards and ethics**

## **Local policies**

## **Agency policies & procedures**

## **Interpersonal relationships**

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# My Resource Connection

## *Collaborating for Success*



# The Vision:

Invent a system to increase client success.....the medical clinic model

# Case Managing Wally



# How can we better serve our communities?

## By asking the tough questions:

**Question 1:** How can we increase the amount of information staff have about services available to clients?

**Question 2:** How can we increase the amount of information about mutual clients & mutual households?

**Question 3:** How can we help internal and external agencies be more effective & efficient?

**Question 4:** How can we work together for clients to be self-sufficient?

**Answer: *My Resource Connection*** – A web-based resource developed to equip Human Service Professionals with information about mutual clients, mutual households, and community resources available to clients.



# Development of My Resource Connection

- **System Specialists** - COR, CRT, HSD, HED, MNH, JCDS, Library, Unified Government
  - System Design and Enhancements
  - Critique and Feedback
- **Department Directors** - COR, CRT, HSD, HED, MNH, JCDS, Med-Act
  - Respond to recommendations from the System Specialists
  - Provide direction and clarity for ethical issues
  - Provide leadership for integration into regular department workflows
- **Legal Services and Risk Management**
  - Ensure compliance with HIPAA, Federal and State laws and regulations
  - Coordinate requirements with department Privacy and Security Officers
  - Draft Releases of Information, NOIPS, and Policies and Procedures
- **Johnson County Department of Technology and Innovation**
  - Work closely with System Specialists on design and enhancements
  - Technical development and assistance
- **Community Partners**
  - United Way 211 is out largest provider of resource data
  - Unified Government is actively working with Johnson County to become full partners in the continued development of My Resource Connection
  - Non-profits, hospitals, school districts, and community resource agencies all have access to My Resource Connection, and also provide resource data to the system



# MyAvatar

- Johnson County Mental Health Center's Electronic Medical Record
- Document treatment and interventions provided for approximately 10,000 clients
- Document intervention and contacts with non-clients
- Capable of creating a wide range of reports and customizable forms
- Client Portal



# JIMS- Justice and Information Management System

- Shared criminal justice data base by Sheriff's Dept, Clerk's office, DA, Judges, Court Services and Community Corrections
- Mental Health Center, Court trustee's, Attorneys, Title Companies, CASA and other Law Enforcement agencies have limited access
- Nearly paperless-all paper documents are scanned into JIMS
- Significant data reporting capabilities
- Utilized for Co-responder data

# Business Associate Contracts

To ensure compliance with all Federal and State laws, all departments entered into Business Associate Contracts with our Department of Technology and Innovation, specifically our Automated Information Mapping Systems (AIMS) division. They provide the technical support, storage and maintenance to the application.



# Confidentiality and Information Exchange Agreement

All staff who are granted access to the application have to go through HIPAA training and 3.5 hours of training on appropriate use the application. Included in this training, staff reviews and signs a Confidentiality and Information Exchange Agreement. This signed is maintained as part of the training records.



Home Clients Services Jobs Apartments Map

Name Address Caseworker System ID

[Bookmarks](#)

Organization: Johnson County Corrections Caseworker: HO ..... LINDA ☐ Show inactive Go Reset

Results for Organization = Johnson County Corrections and Caseworker = HO ..... LINDA - 39 records found

<< >>

select: [all](#) [none](#) selected: [itinerary](#) [map](#) [directions](#) [transit](#) [remove](#) [bookmark](#) [expand](#) [collapse](#)

ClientID	ClientName	BirthDate	Age	Sex	Race	Dept/Div	System	SystemID	SourceDate
+ 110010405	AF ..... KEITH	04/17/1984	31	Male	WHITE	COR/Adult	JIMS	<a href="#">595932</a>	03/02/2016
+ 110010394	AF ..... THY JAMES	03/25/1965	50	Male	WHITE	COR/Adult	JIMS	<a href="#">75472</a>	03/02/2016
+ 110010942	BF ..... SUE	08/26/1963	52	Female	WHITE	COR/Adult	JIMS	<a href="#">141355</a>	03/02/2016
+ 110010725	CF ..... LYNN	01/28/1975	41	Male	WHITE	COR/Adult	JIMS	<a href="#">569761</a>	03/02/2016
+ 110011384	CC ..... JAY	08/14/1968	47	Male	WHITE	COR/Adult	JIMS	<a href="#">186845</a>	03/02/2016
+ 110010447	EL ..... EY LEE	02/27/1977	39	Male	WHITE	COR/Adult	JIMS	<a href="#">288524</a>	03/02/2016
+ 110011298	FC ..... PAUL	05/06/1977	38	Male	WHITE	COR/Adult	JIMS	<a href="#">676610</a>	03/02/2016
+ 110026751	FR ..... ETH ANN	03/26/1988	27	Female	WHITE	COR/Adult	JIMS		03/02/2016
+ 110010966	GA ..... A, MARCO ANTONIO	11/25/1986	29	Male	WHITE	COR/Adult	JIMS	<a href="#">516664</a>	03/02/2016
+ 110011128	GI ..... D KENNETH	01/02/1967	49	Male	WHITE	COR/Adult	JIMS	<a href="#">493052</a>	03/02/2016
+ 110010899	GC ..... ES DALE	02/01/1959	57	Male	WHITE	COR/Adult	JIMS	<a href="#">95669</a>	03/02/2016
+ 110011267	HA ..... SEPH	09/02/1990	25	Male	WHITE	COR/Adult	JIMS	<a href="#">415894</a>	03/02/2016
+ 110012008	HEL ..... EL RAY	10/28/1988	27	Male	WHITE	COR/Adult	JIMS	<a href="#">475947</a>	03/02/2016
+ 110007978	HIN ..... Y MARTIN	06/18/1979	36	Male	WHITE	COR/Adult	JIMS	<a href="#">272904</a>	03/02/2016
+ 110009559	HIN ..... SA SUE	09/07/1982	33	Female	WHITE	COR/Adult	JIMS	<a href="#">679983</a>	03/02/2016

A red flag indicates this individual is a “mutual” client with another program.



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[Bookmarks](#)

Organization: Johnson County Corrections Caseworker: HO ..... LINDA ☐ Show inactive

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ClientID		ClientName	BirthDate	Age	Sex	Race	Dept/Div	System	SystemID	SourceDate	
+ 110010405		<input type="checkbox"/> AF ..... KEITH	04/17/1984	31	Male	WHITE	COR/Adult	JIMS	<a href="#">595932</a>	03/02/2016	
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+ 110010725		<input type="checkbox"/> CF ..... LYNN	01/28/1975	41	Male	WHITE	COR/Adult	JIMS	<a href="#">569761</a>	03/02/2016	
+ 110011384		<input type="checkbox"/> CC ..... JAY	08/14/1968	47	Male	WHITE	COR/Adult	JIMS	<a href="#">186845</a>	03/02/2016	
+ 110010447		<input type="checkbox"/> EL ..... EY LEE	02/27/1977	39	Male	WHITE	COR/Adult	JIMS	<a href="#">288524</a>	03/02/2016	
+ 110011298		<input type="checkbox"/> FC ..... PAUL	05/06/1977	38	Male	WHITE	COR/Adult	JIMS	<a href="#">676610</a>	03/02/2016	
+ 110026751		<input type="checkbox"/> FR ..... ETH ANN	03/26/1988	27	Female	WHITE	COR/Adult	JIMS		03/02/2016	
+ 110010966		COR/Adult -- COR/Municipal House Arrest -- JCDHE -- MNH			29	Male	WHITE	COR/Adult	JIMS	<a href="#">516664</a>	03/02/2016
+ 110011128		<input type="checkbox"/> GI ..... D KENNETH	01/02/1967	49	Male	WHITE	COR/Adult	JIMS	<a href="#">493052</a>	03/02/2016	
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+ 110012008		<input type="checkbox"/> HEL ..... EL RAY	10/28/1988	27	Male	WHITE	COR/Adult	JIMS	<a href="#">475947</a>	03/02/2016	
+ 110007978		<input type="checkbox"/> HIN ..... Y MARTIN	06/18/1979	36	Male	WHITE	COR/Adult	JIMS	<a href="#">272904</a>	03/02/2016	
+ 110009559		<input type="checkbox"/> HIN ..... SA SUE	09/07/1982	33	Female	WHITE	COR/Adult	JIMS	<a href="#">679983</a>	03/02/2016	

Hover over a red flag to get a quick list of the other programs a client is involved with.



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[Name](#) [Address](#) [Caseworker](#) [System ID](#)

[Bookmarks](#)

Last Name:  First Name:  [Go](#) [Reset](#) [advanced](#)

Results for Birthdate = 03/26/1988 and matched last four SSN - 4 records found

<< >>

ClientID	ClientName	BirthDate	Age	Sex	Race	Dept/Div	System	SystemID	SourceDate
<a href="#">+</a> 110007394	<input type="checkbox"/> FR ..... ETH	03/26/1988	27	Female	WHITE	COR/Municipal House Arrest	JIMS		03/02/2016
<a href="#">+</a> 180001427	<input type="checkbox"/> FR ..... ETH	03/26/1988	27	Female		MNH	MYAVATAR	93478	03/02/2016
<a href="#">+</a> 130081247	<input type="checkbox"/> FR ..... ETH ANN	03/26/1988	27	Female	White	JCDHE	INSIGHT	247208	03/02/2016
<a href="#">+</a> 110026751	<input type="checkbox"/> FR ..... ETH ANN	03/26/1988	27	Female	WHITE	COR/Adult	JIMS		03/02/2016

select: [all](#) [none](#) selected: [itinerary](#) [map](#) [directions](#) [transit](#) [remove](#) [bookmark](#) [expand](#) [collapse](#) light gray records are inactive clients

Click on a red flag to retrieve all program records for a client.



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[Address](#)
[Caseworker](#)
[System ID](#)
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ClientID	ClientName	BirthDate	Age	Sex	Race	Dept/Div	System	SystemID	SourceDate
<input type="checkbox"/> <a href="#">+ 110007394</a>	<input type="checkbox"/> FRI..... 3ETH	03/26/1988	27	Female	WHITE	COR/Municipal House Arrest	JIMS		03/02/2016
<input type="checkbox"/> <a href="#">- 180001427</a>	<input type="checkbox"/> FRI..... 3ETH	03/26/1988	27	Female		MNH	MYAVATAR	93478	03/02/2016

**Address**

Address Type    Address    City, State Zip  
Residential    71..... TER    Overland Park, KS 66204    [map>>](#)    [3 client\(s\) at this address>>](#)    [parcel info>>](#)  
  
Job Search Links for 66204: [Monster>>](#)    [CareerBuilder>>](#)    [Craigslist>>](#)    [Claz.org>>](#)

**Contact Info**

Phone (Access Team)    (913) 826-4200  
Email (Service Coordinator)    [d.....lly@jocogov.org](mailto:d.....lly@jocogov.org)

Services	Begin Date	End Date	Service Type	Service Provider Org	Service Provider Name	Status
Case Management				Johnson County Mental Health Center	Service Coordinator: DE ..... :LLY	Active

<input type="checkbox"/> <a href="#">+ 130081247</a>	<input type="checkbox"/> FRI(..... ETH ANN	03/26/1988	27	Female	White	JCDHE	INSIGHT	247208	03/02/2016
<input type="checkbox"/> <a href="#">+ 110026751</a>	<input type="checkbox"/> FRI(..... ETH ANN	03/26/1988	27	Female	WHITE	COR/Adult	JIMS		03/02/2016

select: [all](#) [none](#)    selected: [itinerary](#) [map](#) [directions](#) [transit](#) [remove](#) [bookmark](#) [expand](#) [collapse](#)    light gray records are inactive clients

Expand a client record to obtain more detail about the client and the services they are receiving.



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[Name](#) [Address](#) [Caseworker](#) [System ID](#)

[Bookmarks](#)

Last Name:  First Name:  [Go](#) [Reset](#) [advanced](#)

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ClientID	ClientName	BirthDate	Age	Sex	Race	Dept/Div	System	SystemID	SourceDate
<input type="checkbox"/> 110007394	<input type="checkbox"/> FRI..... 3ETH	03/26/1988	27	Female	WHITE	COR/Municipal House Arrest	JIMS		03/02/2016
<input type="checkbox"/> 180001427	<input type="checkbox"/> FRI..... 3ETH	03/26/1988	27	Female		MND	MYAVATAR	93478	03/02/2016

### Address

Address Type Address City, State :  
Residential 71..... TER Overland Pa

Job Search Links for 66204: [Monster>>](#) [C](#)

### Contact Info

Phone (Access Team) (913) 826-42  
Email (Service Coordinator) [de..... lly@](#)

### Services

Begin Date	End Date	Service Type	Service
		Case Management	Johnson

<input type="checkbox"/> 130081247	<input type="checkbox"/> FRI..... ETH ANN	03/26/1988	27	Female	Whi
<input type="checkbox"/> 110026751	<input type="checkbox"/> FRI..... ETH ANN	03/26/1988	27	Female	WHI

select: [all](#) [none](#) selected: [itinerary](#) [map](#) [directions](#) [transit](#) [remov](#)

### Send Message

To:

From:

Title:

Message:

[Send](#)

[Cancel](#)

Click on the service coordinator's email address to send them a message.



# HIPAA and Law Enforcement

- Exception: “To a law enforcement official reasonably able to prevent or lessen a serious and imminent threat to the health or safety of an individual or the public (45 CFR 164.512(j)(1)(i)).”
- JCMHC frequently outreaches clients with LEO present due to safety risk factors.
- JCMHC will share any safety related information up front. This is often reciprocated by LEO.
- Emphasize the value in receiving non-emergent information.
- Seek releases when relevant and able

# JCMHC Care Coordination with Probation/ Corrections

- Able to see when we have mutual clients
- If someone is on probation, the contact information in MyRc tends to be more accurate aiding in outreach efforts
- Clients forget name and contact information of probation officer
- Utilize both JIMS and Sheriff's inmate search to see if known clients have been arrested leading to care coordination with the jail



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### Demanding More Vigilance: Synthetic Drugs in the District of Columbia, Maryland, and Virginia

This day-long, regional symposium on synthetic drugs brought leaders from a variety of disciplines together to examine the current landscape of synthetic drugs in the DC, MD, and VA.



### Criminal Justice Information Sharing

The following podcast deals with state information exchanges regarding offenders on parole and probation and other issues vital to public safety.



### 2015 Spring Public Meeting

The 2015 CJCC Spring Public Meeting addressed the critically important issue of mental health policy in the District of Columbia's criminal justice system.

# Overview

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**Example of a Successful Information Sharing Collaboration**

**Questions**

# With Your Team: Taking It Home



- From call through case disposition, where do you see opportunities to improve your collection, sharing, and use of information?
- What policies, processes, and paper did you see today that you want to bring to your jurisdiction?
- What will be your first steps to apply what you learned today?

# Thank You

Join our distribution list to receive  
CSG Justice Center project updates!

[www.csgjusticecenter.org/subscribe](http://www.csgjusticecenter.org/subscribe)

For more information, contact Will Engelhardt, [wengelhardt@csg.org](mailto:wengelhardt@csg.org)



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