

**May 24, 2018**



# FY18 Improving Reentry for Adults with Co-Occurring Substance Abuse and Mental Illness

*Solicitation Webinar*

# Speakers

- Andre Bethea, Policy Advisor for Corrections  
THE DEPARTMENT OF JUSTICE BUREAU OF JUSTICE ASSISTANCE
- Mark Stovell, Policy Analyst  
THE COUNCIL OF STATE GOVERNMENTS JUSTICE CENTER
- Sarah Wurzburg, Deputy Program Director  
THE COUNCIL OF STATE GOVERNMENTS JUSTICE CENTER
- Donna Bond, Coordinator of Mental Health Reentry Services  
OKLAHOMA DEPARTMENT OF CORRECTIONS
- Mike Phillips, Director of Outpatient Services  
DOUGLAS COUNTY COMMUNITY MENTAL HEALTH CENTER
- Ann Miller, Managing Attorney  
TRIBAL DEFENDERS OFFICE, CONFEDERATED SALISH AND KOOTENAI TRIBES

# Overview

- CSAMI Grant Program
- Additional Award Information
- Budget and Review Criteria
- Questions and Answers

# Bureau of Justice Assistance

The Bureau of Justice Assistance (BJA), a component of the Department of Justice's Office of Justice Programs, provides leadership and services in grants administration and criminal justice policy development to state, local, and tribal jurisdictions. Specifically, BJA provides funding to support law enforcement, combat violent and drug-related crime, and combat victimization. Through the development and implementation of policy, services, and sound grants management, BJA strengthens the nation's criminal justice system and restores security in communities.

To learn more, visit <https://www.bja.gov> or follow us on Facebook (<https://www.facebook.com/DOJBJA/>) and Twitter (@DOJBJA).





# Justice Center

THE COUNCIL OF STATE GOVERNMENTS

National **nonprofit, nonpartisan** membership association of state government officials

Represents **all three** branches of state government

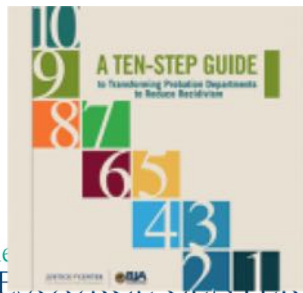
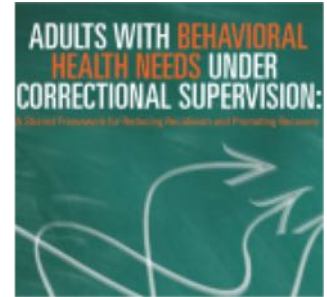
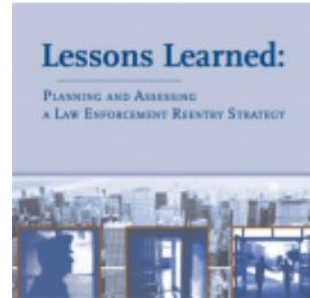
Provides **practical** advice informed by **the best available evidence**





# Justice Center

THE COUNCIL OF STATE GOVERNMENTS



# National Reentry Resource Center



- Authorized by the passage of the Second Chance Act in April 2008
- Launched by the Council of State Governments in October 2009
- Administered in partnership with the Bureau of Justice Assistance, U.S. Department of Justice
- The NRRC has provided technical assistance to over 600 juvenile and adult reentry grantees since inception



# the NATIONAL REENTRY RESOURCE CENTER

Visit the *What Works in Reentry Clearinghouse*

## The Federal Interagency Reentry Council: A Record of Progress and a Roadmap for the Future

The Federal Interagency Reentry Council provides a review of its accomplishments and a roadmap for its future.

[Learn More](#)

THE FEDERAL INTERAGENCY REENTRY COUNCIL  
A Record of Progress and a Roadmap for the Future

95% of adults sentenced to prison will return to the community.

**Reentry will be their next step.**



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JANUARY 24, 2017

The resources, organized by common challenges for juvenile justice

### ANNOUNCEMENTS



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# Eligibility

- States, units of local government, and federally recognized Indian tribal governments (as determined by the Secretary of the Interior)

# Overview of CSAMI

- The Improving Reentry for Adults with Co-occurring Substance Abuse and Mental Illness (CSAMI) Program is designed to improve access to and delivery of services to offenders with co-occurring substance abuse and mental illness when they leave incarceration to reenter the community.
- Coordination among corrections, substance abuse and mental health treatment providers, correctional health, and parole or probation enables the development of collaborative comprehensive case plans that address criminogenic risk, substance abuse, and mental health needs.

# Grant Categories

- **Category 1, Competition ID BJA-2018-13986:** Units or components of *state government agencies* serving adult offenders.
- **Category 2, Competition ID BJA-2018-13987:** Units or components of county or city *local government agencies* serving adult offenders.
- **Category 3, Competition ID BJA-2018-13988:** Federally recognized *Indian tribes and Alaska Native tribes and/or tribal organizations* serving adult offenders.

# Mandatory Certification or Consultation

- **Mandatory certification:** All applicants **must certify** that any treatment program proposed in their applications is or will be clinically appropriate and will provide comprehensive integrated substance abuse and mental illness treatment.
- Also, applicants **must provide official documentation** that all collaborating service provider organizations are in compliance with all the requirements for licensing, accreditation, and certification, including state, local (city and county), and tribal requirements, as appropriate.
- If the applicant is not the Single State Agency (SSA) for Substance Abuse Services, the applicant must demonstrate that the application has been developed *in consultation with the SSA*. See <http://www.samhsa.gov/sites/default/files/ssa-directory.pdf> for a listing of the SSA entities.

# Program-Specific Information

- To provide standardized screening and assessment; collaborative comprehensive case management; and pre- and post-release programming that address criminogenic risk and needs, including mental illness and substance abuse.

# Objectives and Deliverables

- Standardize screening processes for mental illness and substance abuse using a validated tool for all offenders entering the correctional facility (e.g., booking, intake, or classifications).
- Provide assessments for mental illness and substance abuse prior to a person's release from the corrections facility.
- Provide evidence-based pre-and post-release mental illness and substance abuse treatment and cognitive behavioral interventions to address criminogenic risk factors.
- Develop collaborative comprehensive case plans that incorporate information from the criminogenic risk assessment and mental illness and substance abuse assessments.
- Create a performance measurement plan that outlines who is responsible for data collection, input, and analysis.

# Allowable Program Activities

- Screening and assessment for substance abuse and mental illness and validated risk assessment while the person is in prison or jail.
- Providing treatment for co-occurring substance abuse and mental illness while the person is in prison or jail, including integrated dual diagnosis treatment.
- Pre-release and post-release collaborative comprehensive case planning.
- Case management.
- Providing linkages to other wraparound or recovery support services, including health care coverage, employment, education, housing and life skills training, and post-release treatment and aftercare programming in the community, which may include parole, probation, or court supervision with specialized caseloads.

Donna Bond, Coordinator of Mental Health Reentry Services,  
Oklahoma Department of Corrections

*FY15 SCA Co-occurring Category 1 (state) Grantee: Oklahoma  
Department of Corrections*





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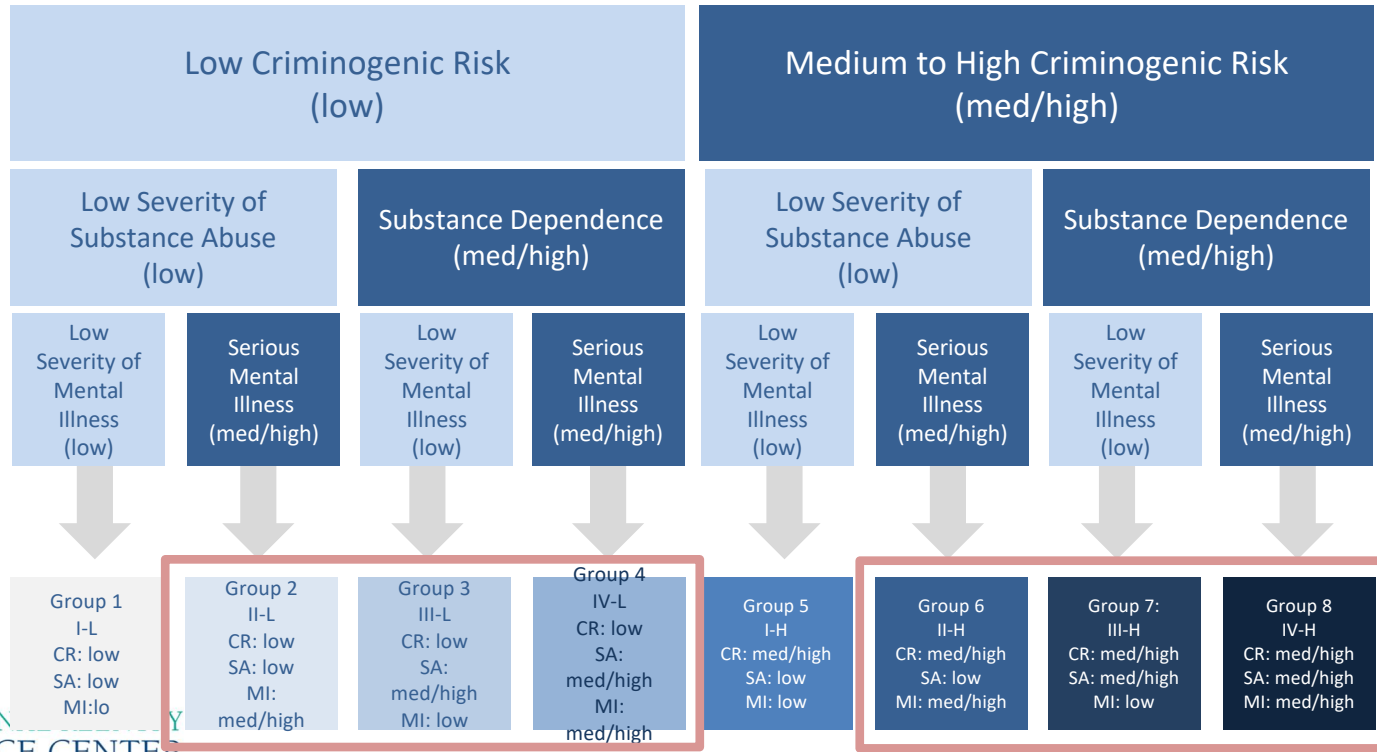
# Web-Based Tool to Support Case Planning



## Collaborative Comprehensive Case Plans: Addressing Criminogenic Risk and Behavioral Health Needs

The **Criminogenic Risk and Behavioral Health Needs framework** (see below) introduced state leaders and policymakers to the concept of prioritizing supervision and treatment resources for people based on their level of criminogenic risk and needs and the severity of their behavioral health needs. Once these individuals are identified, criminal justice and behavioral health professionals can work together to develop and implement case plans that assist the participants in reducing their risk for recidivating and advancing their goals for recovery. The following tools and resources will help these professionals integrate critical behavioral health and criminogenic risk and needs information into comprehensive case plans that actively engage the participant and reflect a balanced and collaborative partnership between criminal justice, behavioral health, and social service systems.

# Behavioral Health/Criminal Justice Framework





# LEAD CASE PLANNER PROFILE: CORRECTIONAL FACILITY

*Franklin County Sheriff's Office*

Franklin County, Massachusetts

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## NOTABLE FEATURES

- The Franklin County Sheriff's Office in Greenfield, Massachusetts was a Second Chance Act Reentry Program for Adults with Co-occurring Substance Use and Mental Disorders grantee in Fiscal Year 2013.
- Jurisdiction geography: **Rural, 71,372 residents**
- Size of correctional facilities and populations incarcerated: 250 men

# How are Collaborative Comprehensive Case Plans Implemented?

INTERAGENCY COLLABORATION AND INFORMATION SHARING	+
STAFF TRAINING	+
SCREENING AND ASSESSMENT	+
CASE CONFERENCE PROCEDURES	+
PARTICIPANT ENGAGEMENT	+
PRIORITIZED NEEDS AND GOALS	+
RESPONSIVITY	+
LEGAL INFORMATION	+
PARTICIPANT STRENGTHS	+
GENDER CONSIDERATIONS	+

# CSAMI Planning Phase

- Completion and submission of the Planning and Implementation Guide. A completed Guide must include:
  - Description of the plan for standardized screening and assessment, and the collaborative comprehensive case plan process.
  - A research plan that identifies the solution(s) to be tested, intended outcomes, and evaluation metrics, including the research base for the proposed strategies.
  - A performance measurement and evaluation plan, to include ongoing analysis, monitoring, and assessment of overall project impact.

# Planning & Implementation Guide

- **Completion and Submission of the *Planning and Implementation Guide*.** Grantees will receive intensive technical assistance and will be allowed to *access up to \$150,000* of the total grant award in order to complete and submit a required *Planning and Implementation Guide* to be provided by the BJA technical assistance provider—[the National Reentry Resource Center \(NRRC\)](#)
- The *Planning and Implementation Guide* must be completed in coordination with the technical assistance provider and then receive final approval by BJA. Grantees will have 12 months to complete the *Planning and Implementation Guide*.



# CSAMI Planning Phase

- Allowable uses of award funds during planning:
  - Advisory group meetings
  - Plan meetings
  - Outline screening and assessment processes
  - Create case management protocols
  - Build information-sharing protocols
  - Select and incorporate evidence-based practices to address criminogenic risk, mental illness, and substance abuse
  - Decide on recovery support services options
  - Begin performance measurement and plan for evaluation

# CSAMI Implementation Phase

- Allowable uses of award funds during implementation:
  - Continue leadership engagement
  - Conduct screening
  - Conduct assessment
  - Provide collaborative comprehensive case plans
  - Engage in information sharing
  - Use evidence-based practices to address criminogenic risk, mental illness, and substance abuse
  - Support a comprehensive range of recovery support services
  - Provide performance measurement and evaluation

# Priority Considerations

- BJA will give priority to applicants that demonstrate they can provide **integrated treatment for substance abuse and mental illness**, knowing that integrated treatment produces better outcomes.

# Evidence-based Programs or Practices

- OJP strongly emphasizes the use of data and evidence in policy making and program development in criminal justice, juvenile justice, and crime victim services. OJP is committed to:
  - Improving the quantity and quality of evidence OJP generates.
  - Integrating evidence into program, practice, and policy decisions within OJP and the field.
  - Improving the translation of evidence into practice.
  - <https://www.crimesolutions.gov> is one resource to find information about EBPs in criminal justice, juvenile justice, and crime victim services.

Mike Phillips, Director of Outpatient Services, Douglas County  
Community Mental Health Center

*FY14 SCA Co-occurring Category 2 (local government)*

*Grantee: Douglas County, Nebraska*



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# Unallowable Uses for Award Funds

- In addition to the unallowable costs identified in the DOJ Grants Financial Guide, award funds *may not* be used for:
  - Prizes/rewards/entertainment/trinkets (or any type of monetary incentive)
  - Client stipends
  - Gift cards
  - Vehicles
  - Food and beverage

For questions pertaining to budget and examples of allowable and unallowable costs, see the DOJ:

- Grants Financial Guide at <http://ojp.gov/financialguide/DOJ/index.htm>.

# Award Special Condition - Withholding for Planning Phase

- Each grant award will have in place a special condition withholding all but \$150,000, which will allow grantees to complete, submit, and receive approval of their *Planning and Implementation Guides*.
- Grantees will not be authorized to obligate, expend, or draw down funds in excess of \$150,000 until BJA has reviewed and approved their *Planning and Implementation Guides* and a Grant Adjustment Notice has been issued and approved to remove the special condition.
- The *Planning and Implementation Guide* will be provided by NRRC, BJA's technical assistance provider, which will help each grantee develop a strategic plan that incorporates systems enhancement for offenders with co-occurring substance abuse and mental illness.



# Cost Sharing or Matching Requirement

- This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.
- For additional information on cost sharing and match, see the DOJ Grants Financial Guide at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.3b.htm>.

# What an Application Should Include

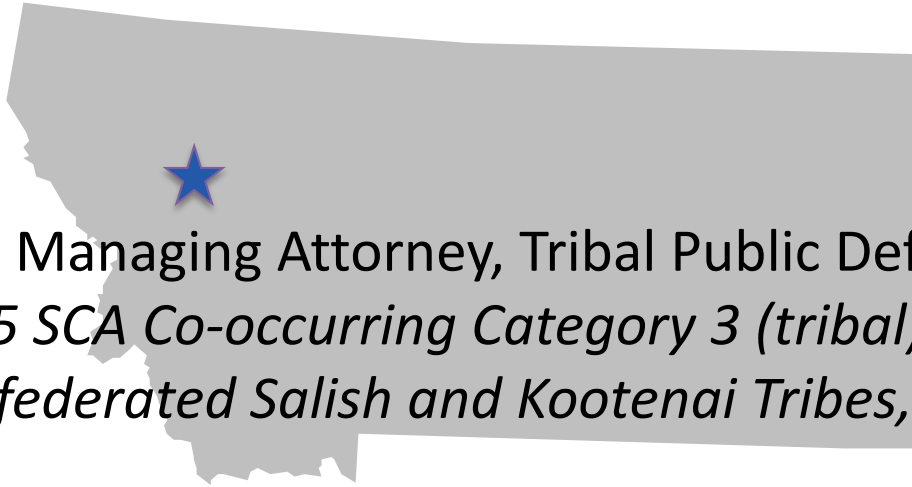
- For this solicitation, BJA has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet, and Budget Narrative.
  1. Information to Complete the Application for Federal Assistance
  2. Project Abstract
  3. Program Narrative
  4. Budget and Associated Documentation
  5. Indirect Cost Rate Agreement (if applicable)
  6. Tribal Authorizing Resolution (if applicable)
  7. Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high risk status)
  8. Disclosure of Lobbying Activities
  9. Additional Required Attachments

# Review Criteria

- Description of the Issue (10 percent)
- Project Design and Implementation (35 percent)
- Capabilities and Competencies (30 percent)
- Plan for Measurement and Evaluation (15 percent)
- Budget (10 percent)

# Federal Award information

- BJA expects to make up to *12 awards* of up to *\$750,000 each*
- BJA expects to make awards for a *36-month period* of performance, to begin on *October 1, 2018*.



Ann Miller, Managing Attorney, Tribal Public Defenders Office  
*FY15 SCA Co-occurring Category 3 (tribal) Grantee:  
Confederated Salish and Kootenai Tribes, Montana*

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# Deadline

Applicants must register with Grants.gov at <https://www.grants.gov/web/grants/register.htm> prior to submitting an application. All applications are due by 11:59 p.m. eastern time on **June 18, 2018**.

OJP encourages all applicants to read this [Important Notice: Applying for Grants in Grants.gov](#).

For additional information, see [How to Apply](#) in Section D. Application and Submission Information

# Contact Information

- For technical assistance with applications, contact the Grants.gov Customer Support Hotline:
  - 800-518-4726, 606-545-5035, at <https://www.grants.gov/web/grants/support.html>, or at [support@grants.gov](mailto:support@grants.gov).
  - The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.
- For assistance with any unforeseen Grants.gov technical issues beyond an applicant's control
  - National Criminal Justice Reference Service (NCJRS) Response Center: toll-free at 800-851-3420; via TTY at 301-240-6310 (hearing impaired only); email [grants@ncjrs.gov](mailto:grants@ncjrs.gov); fax to 301-240-5830; or web chat at <https://webcontact.ncjrs.gov/ncjchat/chat.jsp>.
  - The NCJRS Response Center hours of operation 10:00 a.m. to 6:00 p.m. eastern time, Monday through Friday, and 10:00 a.m. to 8:00 p.m. eastern time on the solicitation close date.



# Resources

- [The National Reentry Resource Center \(NRRC\)](#)
- [Collaborative Comprehensive Case Plan Web-based Tool](#)
- [Behavioral Health Framework for Adults Under Correctional Supervision](#)
- [Process Measures at the Interface Between Justice and Behavioral Health Systems: Advancing Practice and Outcomes](#)

# Thank you!

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National Reentry Resource Center updates!

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For more information, contact  
[info@nationalreentryresourcecenter.org](mailto:info@nationalreentryresourcecenter.org)