FY18 Improving Reentry for Adults with Co-Occurring Substance Abuse and Mental Illness (CSAMI) Orientation Webinar

November 1, 2018
Speakers

**BUREAU OF JUSTICE ASSISTANCE**

Andre Bethea, Policy Advisor for Corrections

**THE COUNCIL OF STATE GOVERNMENTS (CSG) JUSTICE CENTER**

Mark Stovell, Policy Analyst

Allison Upton, Psy.D., Project Manager

Sarah Wurzburg, Deputy Program Director
Overview

1. Introductions

2. Overview of the SCA CSAMI Grant Program

3. CSAMI Planning and Implementation Guide & Technical Assistance

1. Questions and Answers
Mission: To provide leadership and services in grant administration and criminal justice policy development to support local, state, and tribal justice strategies to achieve safer communities.

About the Second Chance Act
Supports state, local and tribal governments and nonprofit organizations in their work to reduce recidivism and improve outcomes for people returning from incarceration. The Second Chance Act has supported over $300 million in reentry investments across the country.

www.bja.gov
National **nonprofit, nonpartisan** membership association of state government officials

Represents **all three** branches of state government

Provides **practical** advice informed by the best available evidence
The National Reentry Resource Center (NRRC)

- **Deliver** technical assistance and training for SCA grantees.
- **Advance** the knowledge base of the reentry field.
- **Promote** what works in reentry and successes of grantees.
- **Facilitate** peer networks and information exchange.
- **Provide** information for people returning to communities and their families.

Funded and administered by the U.S. Department of Justice’s Bureau of Justice Assistance, the NRRC is the nation’s primary source of information and guidance in reentry.

Latest News & Resources in Reentry

Recent Headlines
- Pa. Banking on Program Providing Former Inmates with Financial Literacy
  Date: October 23, 2018
  Pennsylvania has a new idea to help lower recidivism rates. The state agencies have launched a pilot program that teaches financial literacy to inmates at state prisons through a course on credit and banking basics. The class is a collaboration between the Department of Corrections and the Department of Banking and Securities.

Publications
- Prison Policy Initiative
- Clean Slate Clearinghouse

Funding & Announcements
- SAMHSA
  Register for webinar: SAOR - A Reentry Tool for Individuals Involved in the Criminal Justice System
  Date: Wednesday, October 24

Directories for State and Local Reentry Services

National Criminal Justice Initiatives Map

www.NationalReentryResourceCenter.org
Overview

1. Introductions

2. Overview of the SCA CSAMI Grant Program

3. CSAMI Planning and Implementation Guide & Technical Assistance

4. Questions and Answers
SCA CSAMI Grant Program: $61 Million Awarded

<table>
<thead>
<tr>
<th>Grant Year</th>
<th>Number of Grant Awards</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY2010</td>
<td>12</td>
</tr>
<tr>
<td>FY2011</td>
<td>18</td>
</tr>
<tr>
<td>FY2012</td>
<td>12</td>
</tr>
<tr>
<td>FY2013</td>
<td>16</td>
</tr>
<tr>
<td>FY2014</td>
<td>10</td>
</tr>
<tr>
<td>FY2015</td>
<td>8</td>
</tr>
<tr>
<td>FY2016</td>
<td>5</td>
</tr>
<tr>
<td>FY2017</td>
<td>3</td>
</tr>
<tr>
<td>FY2018</td>
<td>9</td>
</tr>
</tbody>
</table>

The chart shows the number of grant awards given each year from FY2010 to FY2018, with $9.9 million awarded in FY2011, $8.9 million in FY2012, $10 million in FY2013, $7 million in FY2014, $6 million in FY2015, $3 million in FY2016, and $5 million in FY2017.
SCA Adult CSAMI Grant Program
105 Awards Across the Nation

47 County Grantees
25 State Grantees
6 Tribal Grantees
**Local (category 2)**
- Bexar County Hospital District DBA University Health System, TX
- Board of County Commissioners of Hillsborough County, FL
- City of Kansas City, MO
- Clark County, WA
- County of Durham, NC
- County of Sonoma, CA
- Jefferson County, CO

**State (category 1)**
- Judiciary of Guam
- Louisiana Department of Public Safety and Corrections
- Mississippi Department of Corrections

**Tribal (category 3)**
- Cook Inlet Tribal Council, Inc., Alaska
- Confederated Salish and Kootenai Tribes, MT
- Lower Brule Sioux Tribe, SD
Grantee Orientation Process

• Bureau of Justice Assistance (BJA) Second Chance Act (SCA) Orientation Webinar
• FY18 SCA Improving Reentry for Adults with Co-occurring Substance Abuse and Mental Illness (CSAMI) Grantee Webinar
  – Today (Thursday, November 1st)
• Performance Measurement Tool (PMT) Webinar
  – Wednesday, November 21st 1-2 pm eastern time
• Orientation/Introductory Call
  – Scheduled with your CSG Justice Center TA providers
    • Mark Stovell, Policy Analyst
    • Allison Upton, Project Manager
Grantee Contacts

• Bureau of Justice Assistance
  – Funder, State Policy Advisor
  – Budget and Grant Adjustment Notices

• National Reentry Resource Center
  – Training and Technical Assistance
    • Monthly Calls
    • Possible Site Visits

• Booz Allen Hamilton
  – Performance Measurement Tool
Overview of CSAMI

• Designed to improve access to and delivery of services to offenders with CSAMI when they leave incarceration to reenter the community
• Coordination among corrections, substance abuse and mental health treatment providers, correctional health, and parole or probation
• Development of collaborative comprehensive case plans that address criminogenic risk, substance abuse, and mental health needs
Program-Specific Information

• To provide standardized screening and assessment; collaborative comprehensive case management; and pre- and post-release programming that address criminogenic risk and needs, including mental illness and substance abuse.
What is Different This Year?

• Increased focus on systems change
• Requirements on standardized screening and assessment
• Case management protocols
• Pre- and post-release services
Objectives and Deliverables

- Standardize screening processes for mental illness and substance abuse using a validated tool for all offenders entering the correctional facility (e.g., booking, intake, or classifications).
- Provide assessments for mental illness and substance abuse prior to a person’s release from the corrections facility.
- Provide evidence-based pre-and post-release mental illness and substance abuse treatment and cognitive behavioral interventions to address criminogenic risk factors.
- Develop collaborative comprehensive case plans that incorporate information from the criminogenic risk assessment and mental illness and substance abuse assessments.
- Create a performance measurement plan that outlines who is responsible for data collection, input, and analysis.
Collaborative Comprehensive Case Plans: Addressing Criminogenic Risk and Behavioral Health Needs

The Criminogenic Risk and Behavioral Health Needs framework (see below) introduced state leaders and policymakers to the concept of prioritizing supervision and treatment resources for people based on their level of criminogenic risk and needs and the severity of their behavioral health needs. Once these individuals are identified, criminal justice and behavioral health professionals can work together to develop and implement case plans that assist the participants in reducing their risk for recidivating and advancing their goals for recovery. The following tools and resources will help these professionals integrate critical behavioral health and criminogenic risk and needs information into comprehensive case plans that actively engage the participant and reflect a balanced and collaborative partnership between criminal justice, behavioral health, and social service systems.
Behavioral Health/Criminal Justice Framework

Low Criminogenic Risk (low)

- Low Severity of Substance Abuse (low)
- Low Severity of Mental Illness (low)
- Low Severity of Substance Abuse (med/high)
- Low Severity of Mental Illness (med/high)

Medium to High Criminogenic Risk (med/high)

- Low Severity of Substance Abuse (low)
- Low Severity of Mental Illness (low)
- Substantial Dependence (med/high)
- Substantial Dependence (med/high)

Groups:

- **Group 1**: I-L
  - CR: low
  - SA: low
  - MI: low

- **Group 2**: II-L
  - CR: low
  - SA: low
  - MI: med/high

- **Group 3**: III-L
  - CR: low
  - SA: med/high
  - MI: med/high

- **Group 4**: IV-L
  - CR: low
  - SA: med/high
  - MI: low

- **Group 5**: I-H
  - CR: med/high
  - SA: low
  - MI: low

- **Group 6**: II-H
  - CR: med/high
  - SA: low
  - MI: med/high

- **Group 7**: III-H
  - CR: med/high
  - SA: med/high
  - MI: low

- **Group 8**: IV-H
  - CR: med/high
  - SA: med/high
  - MI: med/high
Lead Case Planner

Lead Case Planner: Correctional Facility

- Participant support system
- Children's service agencies
- Medical provider
- Substance use treatment provider
- Mental Illness treatment provider
- Courts
- Community supervision
- Vocational and educational provider
- Specialized housing provider
- Peer support

21
Profiles of Three CSAMI Grantees

LEAD CASE PLANNER PROFILE: CORRECTIONAL FACILITY
Franklin County Sheriff’s Office
Franklin County, Massachusetts

NOTABLE FEATURES

- The Franklin County Sheriff’s Office in Greenfield, Massachusetts was a Second Chance Act Reentry Program for Adults with Co-occurring Substance Use and Mental Disorders grantee in Fiscal Year 2013.
- Jurisdiction geography: Rural, 71,372 residents
- Size of correctional facilities and populations incarcerated: 250 men
How Are Collaborative Comprehensive Case Plans Implemented?

<table>
<thead>
<tr>
<th>Topic</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>INTERAGENCY COLLABORATION AND INFORMATION SHARING</td>
<td>+</td>
</tr>
<tr>
<td>STAFF TRAINING</td>
<td>+</td>
</tr>
<tr>
<td>SCREENING AND ASSESSMENT</td>
<td>+</td>
</tr>
<tr>
<td>CASE CONFERENCE PROCEDURES</td>
<td>+</td>
</tr>
<tr>
<td>PARTICIPANT ENGAGEMENT</td>
<td>+</td>
</tr>
<tr>
<td>PRIORITIZED NEEDS AND GOALS</td>
<td>+</td>
</tr>
<tr>
<td>RESPONSIVITY</td>
<td>+</td>
</tr>
<tr>
<td>LEGAL INFORMATION</td>
<td>+</td>
</tr>
<tr>
<td>PARTICIPANT STRENGTHS</td>
<td>+</td>
</tr>
<tr>
<td>GENDER CONSIDERATIONS</td>
<td>+</td>
</tr>
</tbody>
</table>
Overview

1. Introductions

2. Overview of the SCA CSAMI Grant Program

3. **CSAMI Planning and Implementation Guide & Technical Assistance**

4. Questions and Answers
Completion and submission of the Planning and Implementation Guide. A completed Guide must include:

- Description of the plan for standardized screening and assessment, and the collaborative comprehensive case plan process.
- A research plan that identifies the solution(s) to be tested, intended outcomes, and evaluation metrics, including the research base for the proposed strategies.
- A performance measurement and evaluation plan, to include ongoing analysis, monitoring, and assessment of overall project impact.
Planning & Implementation Guide

- **Completion and Submission of the Planning and Implementation Guide.** Grantees will receive intensive technical assistance and will be allowed to access up to $150,000 of the total grant award in order to complete and submit a required Planning and Implementation Guide to be provided by the BJA technical assistance provider—the National Reentry Resource Center (NRRC).

- The Planning and Implementation Guide must be completed in coordination with the technical assistance provider and then receive final approval by BJA. Grantees will have 12 months to complete the Planning and Implementation Guide.
CSAMI Planning Phase

• Allowable uses of award funds during planning:
  – Advisory group meetings
  – Plan meetings
  – Outline screening and assessment processes
  – Create case management protocols
  – Build information-sharing protocols
  – Select and incorporate evidence-based practices to address criminogenic risk, mental illness, and substance abuse
  – Decide on recovery support services options
  – Begin performance measurement and plan for evaluation
CSAMI Implementation Phase

• Allowable uses of award funds during implementation:
  – Continue leadership engagement
  – Conduct screening
  – Conduct assessment
  – Provide collaborative comprehensive case plans
  – Engage in information sharing
  – Use evidence-based practices to address criminogenic risk, mental illness, and substance abuse
  – Support a comprehensive range of recovery support services
  – Provide performance measurement and evaluation
CSAMI Implementation Phase

- Your designated NRRC technical assistance lead will provide and coordinate support in several areas, including:
  - Completion of the P&I Guide
  - Identifying measures and strategies to track progress
  - Content and facilitation support
  - Sharing successes with stakeholders, the field, and the press
Examples of Technical Assistance

- Assisting with data collection and analysis
- Facilitating strategic planning sessions or meetings of reentry task forces
- Training on what works to reduce recidivism, promote recovery, and improve other outcomes
- Helping translate research into proposed policy and practice improvements
- Supporting the development of Implementation and/or Sustainability Plans
- Promote grantee work through individual success stories & measurable progress
Planning Phase: The Process

- Work with team of stakeholders to complete the CSAMI P&I Guide in phases
  - Be honest and accurate
  - Brief answers
- Fill out exercises prior to NRRC monthly calls with your TA provider
  - Alert TA providers of exercise completion in the guide prior to calls
  - Discuss exercises on calls
  - Update the exercises as changes occur
How is the P&I Guide Used?

- To assist grantees in ensuring a plan is in place for implementation
- Identification of things grantee is doing well
- Challenges or areas the grantee is working on
- To develop a TA plan with your TA provider to target assistance
- Aids the grantee in focusing on areas of need
- Creates opportunities for discussion with other CSAMI grantees  
  — Peer to peer learning
- Enables ideas and best practices to be exchanged
- BJA utilizes this to understand the grant programs
P&I Guide Sections

- Section One: Getting Started and Identifying Goals
- Section Two: Defining or Refining Your Target Population
- Section Three: Identifying Evidence-Based Services and Support
- Section Four: Collaborative Comprehensive Case Plans and Post-Release Supports
- Section Five: Data Collection, Performance Measurement, and Program Evaluation
- Section Six: Sustainability
- Appendix: Supporting Resources
Overview

1. Introductions

2. Overview of the SCA CSAMI Grant Program

3. CSAMI Planning and Implementation Guide & Technical Assistance

4. Questions and Answers
Questions and Answers
Resources

• The National Reentry Resource Center (NRRC)
• Collaborative Comprehensive Case Plan Web-based Tool
• Behavioral Health Framework for Adults Under Correctional Supervision
• Process Measures at the Interface Between Justice and Behavioral Health Systems: Advancing Practice and Outcomes
• Best Practices for Successful Reentry for People with Opioid Addiction
Thank you!

Join our distribution list to receive National Reentry Resource Center updates!

www.csgjusticecenter.org/subscribe

For more information, contact info@nationalreentryresourcecenter.org

This presentation was prepared by The Council of State Governments (CSG) Justice Center, in partnership with the U.S. Department of Justice’s Bureau of Justice Assistance. Presentations are not externally reviewed for form or content. The statements reflect the views of the authors and should not be considered the official position of the CSG Justice Center, the members of The Council of State Governments, or the Bureau of Justice Assistance.