

# **Responding to the FY 2019 Solicitation Second Chance Act Improving Reentry for Adults with Co-occurring Substance Abuse and Mental Illness**

*This presentation was prepared by The Council of State Governments (CSG) Justice Center, in partnership with the U.S. Department of Justice's Bureau of Justice Assistance. Presentations are not externally reviewed for form or content. The statements reflect the views of the authors and should not be considered the official position of the CSG Justice Center, the members of The Council of State Governments, or the Bureau of Justice Assistance.*

# Speakers

**Andre Bethea**, Policy Advisor, Bureau of Justice Assistance, U.S. Department of Justice

**Catherine Chinchester**, Executive Director, Co-occurring Collaborative Serving Maine

**Shawn LaGrega**, Deputy Director, Maine Pretrial Services

**Sarah Wurzburg**, Deputy Program Director, Behavioral Health, The Council of State Governments Justice Center

# Agenda

## ❖ Introductions

- ❖ Overview of the SCA Co-occurring (CSAMI) Grant
- ❖ Lessons Learned from a Successful Applicant
- ❖ Keys to a Successful Application
- ❖ Additional Information
- ❖ Q&A

# The U.S. Department of Justice Bureau of Justice Assistance

**Mission:** To provide leadership and services in grant administration and criminal justice policy development to support local, state, and tribal justice strategies to achieve safer communities.



[www.bja.gov](http://www.bja.gov)

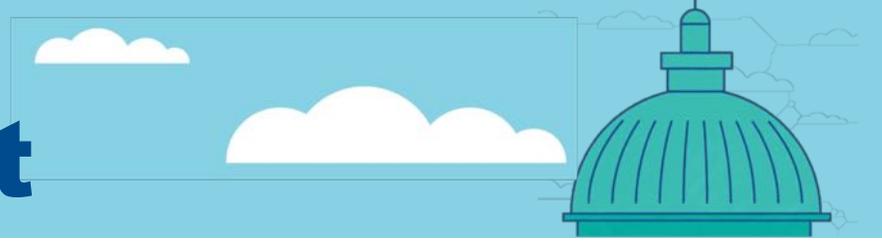
# The CSG Justice Center

- ❖ National nonprofit, nonpartisan organization
- ❖ Membership association representing state officials in all three branches of government
- ❖ Develops research-driven strategies and tools to increase public safety and strengthen communities



**Justice  
Center**

# The Second Chance Act



- ❖ The Second Chance Act supports state, local, and tribal governments and nonprofit organizations in their work to reduce recidivism and improve outcomes for people leaving incarceration.
- ❖ The Second Chance Act has supported over \$400 million in reentry investments across the country.

- ❖ **Delivers** technical assistance (TA) and training for Second Chance Act grantees
- ❖ **Advances** the knowledge base of the reentry field
- ❖ **Promotes** what works in reentry and successes of grantees
- ❖ **Facilitates** peer networks and information exchange
- ❖ **Provides** information for people returning to communities and their families

## Latest News and Resources in Reentry



## National Criminal Justice Initiatives Map



## Directories for State and Local Reentry Services



[www.NationalReentryResourceCenter.org](http://www.NationalReentryResourceCenter.org)

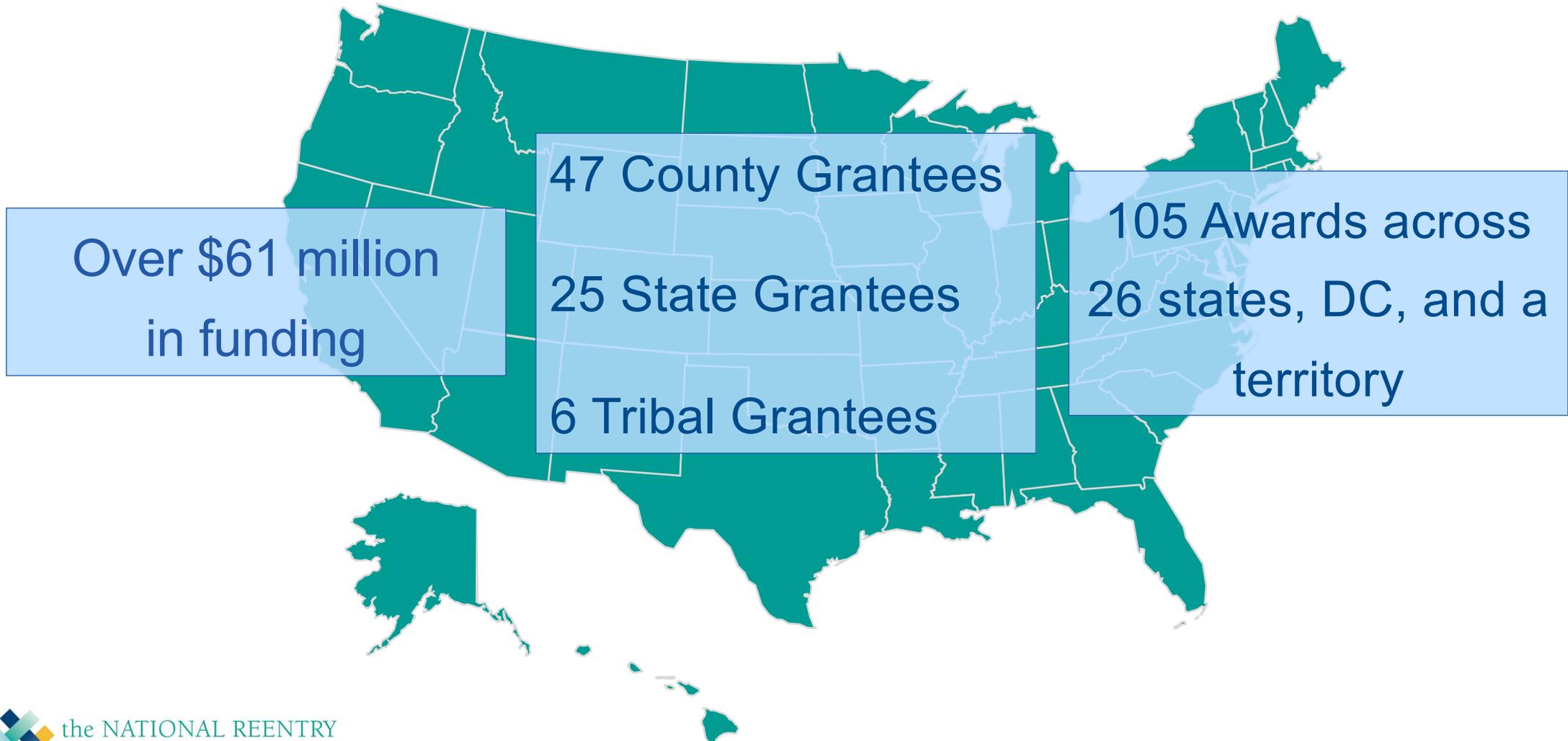


May 28, 2019

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# SCA CSAMI Reentry Grants: By the Numbers



# Award Information

- Category 1 – Units or components of **state** government agencies serving adult offenders.
  - (Competition ID: BJA-2019-15323)
- Category 2 – Community- Units or components of **county or city local government** agencies serving adult offenders.
  - (Competition ID: BJA-2019-15324)
- Category 3 – **Federally-recognized Indian tribes and Alaska Native tribes and/or tribal organizations** serving adult offenders.
  - (Competition ID: BJA-2019-15325)

# Federal Award information

- ❖ BJA expects to make up to *10 awards* of up to *\$1,000,000 each*

- ❖ Solicitation is available at:

<https://www.bja.gov/funding/CSAMI19.pdf>

Applications are due on **June 25, 2019**.

**\*48-month performance period starting October 1, 2019\***

# Award Information (cont.)

## Category 1: *state*

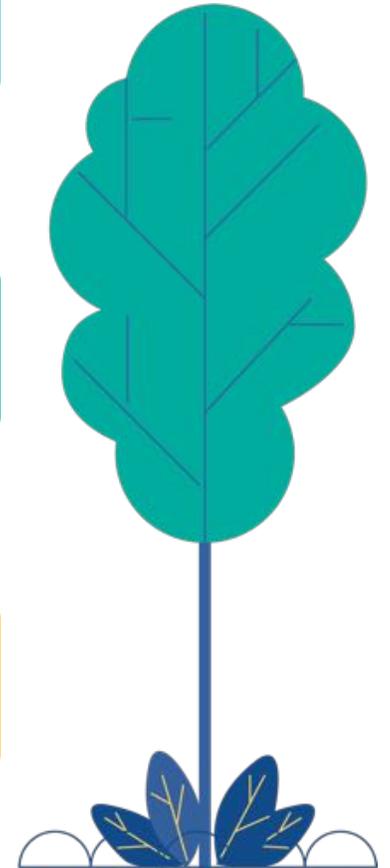
- Up to \$1,000,000 per grant
  - \$150,000 for planning period

## Category 2: *county or city local government*

- Up to \$1,000,000 per grant
  - \$150,000 for planning period

## Category 3: *Federally-recognized Indian tribes and Alaska Native tribes and/or tribal organizations*

- Up to \$1,000,000 per grant
  - \$150,000 for planning period



# Program Goals & Objectives

*The purpose of the program is to enhance corrections systems' ability to address the needs of offenders with co-occurring substance abuse and mental illness in order to reduce recidivism, and to improve public safety and public health*



# Eligible Applicants



- ❖ Eligible applicants are limited to
  - ❖ states,
  - ❖ units of local government, and
  - ❖ federally recognized Indian tribes (as determined by the Secretary of the Interior).

# Planning Phase

- ❖ Grantees will receive intensive technical assistance, up to \$150,000 to complete and submit a required Planning and Implementation (P&I) Guide
- ❖ The Guide is provided by the BJA technical assistance provider—the National Reentry Resource Center (NRRC)
- ❖ The P&I Guide must be completed in coordination with the technical assistance provider and receive final approval by BJA.
- ❖ Grantees will have *12 months* to complete the Planning and Implementation Guide.
- ❖ A completed guide must include:
  - ❖ A description of the plan for standardized screening and assessment and of the collaborative comprehensive case plan process.
  - ❖ A performance measurement and evaluation plan, to include solutions to be tested, evaluation metrics, documentation of research base for proposed

# Planning Phase

1. Complete and Submit Planning and Implementation Guide
2. Convene Advisory Group Meetings
3. Convene Planning Meetings
4. Outline Screening and Assessment Processes
5. Create Case Management Protocols

# Planning Phase

6. Build Information-Sharing Protocols
7. Select and Incorporate Evidence-Based Practices (EBPs) To Address Criminogenic Risk, Mental Illness, and Substance Abuse
8. Provide evidenced-based pharmacological drug treatment services
9. Select Recovery Support Services Options
10. Begin Performance Measurement and Plan for Evaluation

# Implementation Phase

1. Continue Leadership Engagement
  - Provide regular updates on program implementation progress and data.
  - Work with leadership
2. Conduct Screening for CSAMI
  - Use a validated CSAMI screening tool(s)
  - Monitor the screening process
  - Put in place quality assurance mechanisms
3. Conduct Assessments
  - Use criminogenic risk and needs assessment tools
  - Assess for CSAMI
  - Collection of other relevant assessment from correctional or behavioral health provider

# Implementation Phase

## 4. Provide Collaborative Comprehensive Case Plans

- Utilization of criminogenic risk and CSAMI assessment information to develop the case plan.
- Meetings among partners to connect pre- and post-release CSAMI

## 5. Engage in Information Sharing with External Agencies

- Monitoring of information-sharing policies and procedures to ensure effective case management.
- Continued refinement of information-sharing protocols.

## 6. Use of Evidence-based Practices (EBPS) for Criminogenic Risk, Mental Illness, and Substance Abuse

- Provide evidence-based CSAMI treatment practices and services **pre-and post-release**.
- Provide evidence-based pharmacological drug treatment services or medication-assisted treatment (MAT).
- Provide cognitive behavioral interventions that address criminogenic risk and needs
- Provide training for staff and continued monitoring for the implementation

# Implementation Phase

7. Support a Comprehensive Range of Recovery Support Services.

8. Provide a clear Logic Model

9. Provide Performance Measurement and Evaluation.

- Follow and refine the plan for performance measurement that clearly outlines who is responsible for data collection, input, and analysis.
- Hire a third-party independent evaluator to perform an evaluation of services provided through this grant and design an outcome study, potentially using random control trials where feasible, and connect the data from participants (offenders) to the overall recidivism.
- Work closely with an identified research or evaluation partner to meet on a regular basis
- Develop processes for continuous quality improvement for monitoring the implementation of screening, assessment, case plans, and EBPs.
- Focus on the ability to collect pre- and post-release data on initiation, engagement, retention, and continuity of care.
- Demonstrate that baseline recidivism data exists for the target population and that there is a mechanism to track recidivism data for the cohort going forward

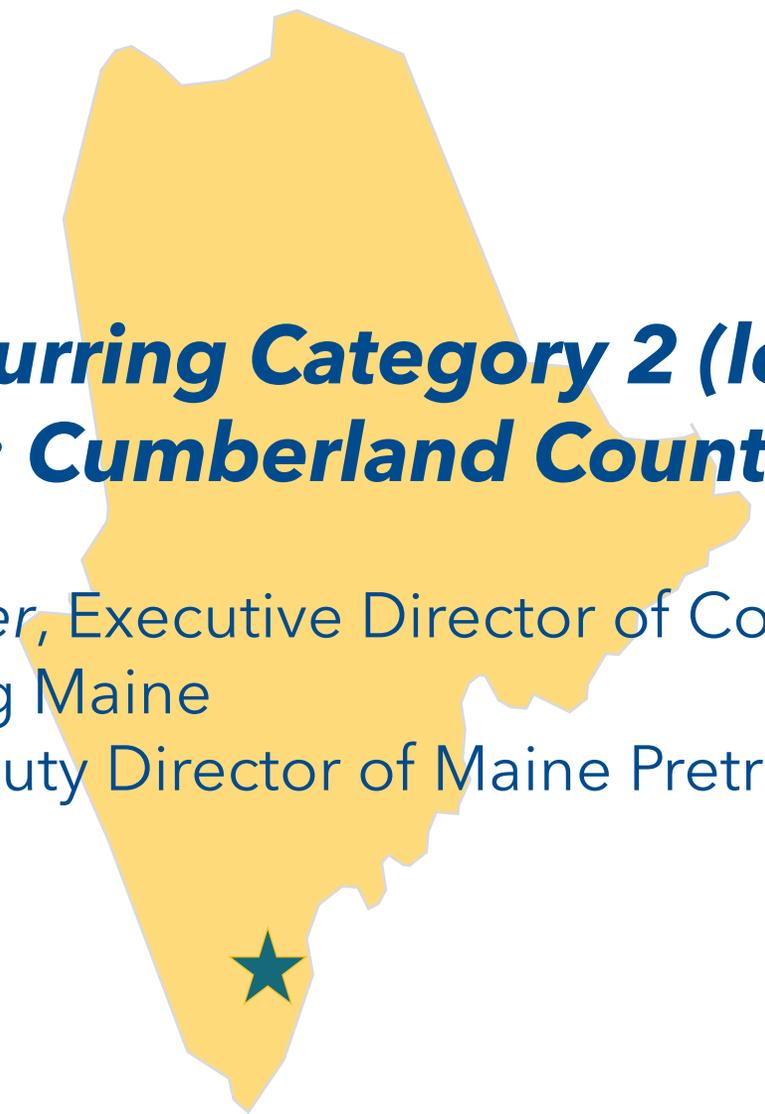
# Grant Review Criteria

Selection Criteria	Weight
Description of the Issue	10%
Project Design & Implementation	40%
Capabilities, Competencies, & Coordination	25%
Performance Measurement and Evaluation	15%
Budget	10%

*See pages 18-41 of the solicitation for the full list of requirements.*

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- ❖ Q&A



## ***FY18 SCA Co-occurring Category 2 (local government)*** ***Grantee: Cumberland County, Maine***

- *Catherine Chinchester*, Executive Director of Co-occurring Collaborative Serving Maine
- *Shawn LaGrega*, Deputy Director of Maine Pretrial Services

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# Mandatory Certification or Consultation

- ❖ **Mandatory certification:** All applicants **must certify** that any treatment program proposed in their applications is or will be clinically appropriate and will provide comprehensive integrated substance abuse and mental illness treatment.
- ❖ Also, applicants **must provide official documentation** that all collaborating service provider organizations are in compliance with all the requirements for licensing, accreditation, and certification, including state, local (city and county), and tribal requirements, as appropriate.
- ❖ If the applicant is not the Single State Agency (SSA) for Substance Abuse Services, the applicant must demonstrate that the application has been developed *in consultation with the SSA*. See <http://www.samhsa.gov/sites/default/files/ssa-directory.pdf> for a listing of the SSA entities.

# Priority Considerations

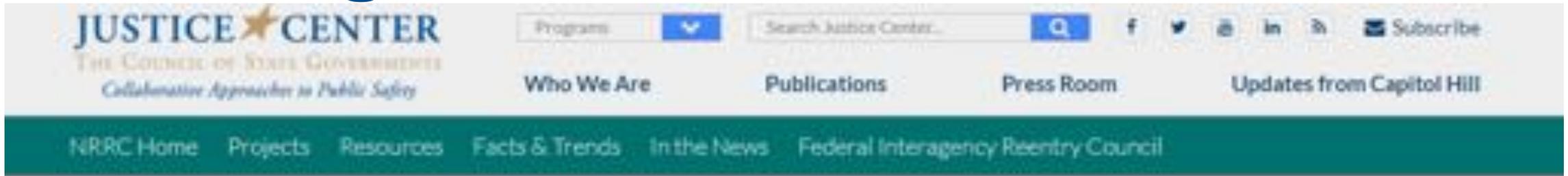
- ❖ Priority Consideration will be given to applicants who
  - ❖ focus their comprehensive initiative on providing industry recognized training within a correctional facility.
  - ❖ Industry analysis using labor statistics should be provided within a justification for why the selected credentials are viable for offenders returning to the specified jurisdictions.
  - ❖ Priority consideration for using an Randomized control trial (RCT) studies

# Priority Considerations (cont.)

- ❖ Priority consideration will be given to applicants who
  - ❖ Priority consideration for serving moderate-high or high risk offenders
  - ❖ Applicants that propose strategies to reduce violent recidivism, among high risk offenders under supervision who have a history of serious violence and are identified in concert with local and/or state law enforcement , will receive priority consideration.
  - ❖ Encouraging Program Investments in Economically Distressed Communities ([Qualified Opportunity Zones](#))

**See page 12 of the solicitation for more priority considerations.**

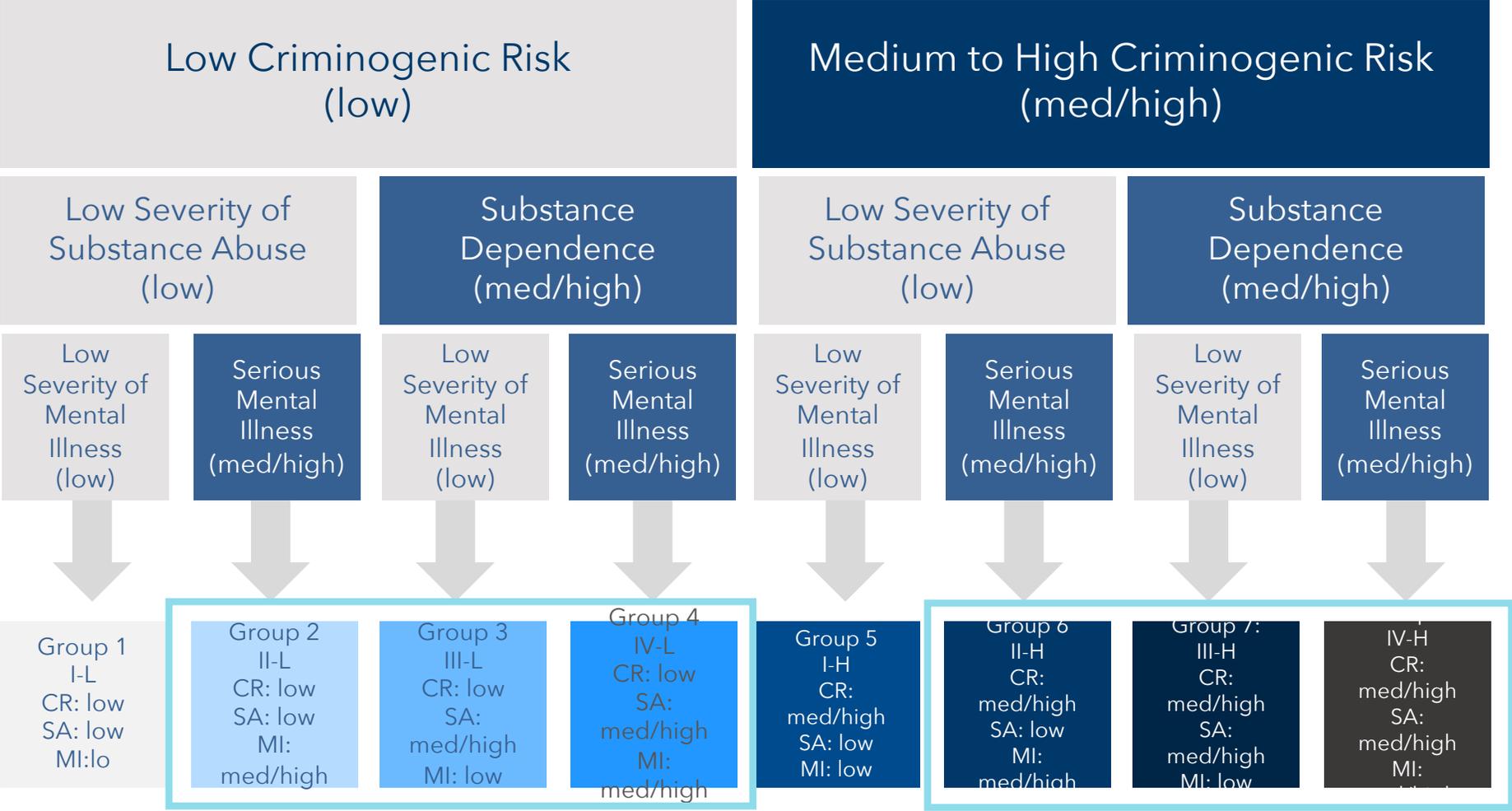
# Web-Based Tool to Support Case Planning



## Collaborative Comprehensive Case Plans: Addressing Criminogenic Risk and Behavioral Health Needs

The **Criminogenic Risk and Behavioral Health Needs framework** (see below) introduced state leaders and policymakers to the concept of prioritizing supervision and treatment resources for people based on their level of criminogenic risk and needs and the severity of their behavioral health needs. Once these individuals are identified, criminal justice and behavioral health professionals can work together to develop and implement case plans that assist the participants in reducing their risk for recidivating and advancing their goals for recovery. The following tools and resources will help these professionals integrate critical behavioral health and criminogenic risk and needs information into comprehensive case plans that actively engage the participant and reflect a balanced and collaborative partnership between criminal justice, behavioral health, and social service systems.

# Behavioral Health/Criminal Justice Framework





# LEAD CASE PLANNER PROFILE: CORRECTIONAL FACILITY

*Franklin County Sheriff's Office*

Franklin County, Massachusetts

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## NOTABLE FEATURES

- The Franklin County Sheriff's Office in Greenfield, Massachusetts was a Second Chance Act Reentry Program for Adults with Co-occurring Substance Use and Mental Disorders grantee in Fiscal Year 2013.
- Jurisdiction geography: **Rural, 71,372 residents**
- Size of correctional facilities and populations incarcerated: 250 men

## How are Collaborative Comprehensive Case Plans Implemented?

There are specific processes that must occur to develop and maintain collaborative comprehensive case plans that reflect the partnership necessary to help each participant with co-occurring substance use and mental disorders succeed. Once those processes are in place, these case plans must also include specific information contributed by the partnering agencies.

Below are 10 priorities intended to help criminal justice and behavioral health professionals develop and implement collaborative comprehensive case plans. Each priority also includes related tools and resources for further information, when applicable.

<b>INTERAGENCY COLLABORATION AND INFORMATION SHARING</b>	<b>+</b>
<b>STAFF TRAINING</b>	<b>+</b>
<b>SCREENING AND ASSESSMENT</b>	<b>+</b>
<b>CASE CONFERENCE PROCEDURES</b>	<b>+</b>
<b>PARTICIPANT ENGAGEMENT</b>	<b>+</b>
<b>PRIORITIZED NEEDS AND GOALS</b>	<b>+</b>
<b>RESPONSIVITY</b>	<b>+</b>
<b>LEGAL INFORMATION</b>	<b>+</b>
<b>PARTICIPANT STRENGTHS</b>	<b>+</b>
<b>GENDER CONSIDERATIONS</b>	<b>+</b>

# Award Special Condition – Withholding for Planning Phase

- Each grant award will have in place a special condition withholding all but \$150,000, which will allow grantees to complete, submit, and receive approval of their *Planning and Implementation Guides*.
- Grantees will not be authorized to obligate, expend, or draw down funds in excess of \$150,000 until BJA has reviewed and approved their *Planning and Implementation Guides* and a Grant Adjustment Notice has been issued/approved to remove the special condition.
- The *Planning and Implementation Guide* will be provided by NRRC, BJA's technical assistance provider to help each grantee develop a strategic plan that incorporates systems enhancement for offenders with CSAMI.

# Cost Sharing or Matching Requirement

- This grant **requires a match**
- Federal funds awarded under this program *may not cover more than 75%* of the total costs of the program being funded.
- The applicant must identify the source of the 25% non-federal portion of the total program costs and how it will use match funds.
- If a successful applicant's proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.
- "Match" funds may be used only for purposes that would be allowable for the federal funds. Recipients may satisfy this match requirement with either cash or in-kind services.
- See the DOJ Grants Financial Guide for examples of "in-kind services" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.3b.htm>

# Match Requirement Information

Example: 75%/25% match requirement: for a federal award amount of \$400,000, calculate match as follows:

$$\$400,000 / 75\% = \$533,333$$

$$25\% \times \$533,333 = \$133,333 \text{ match}$$

The Budget Detail Worksheet *should distinguish cash from in-kind matched funds* using an asterisk to show what percentage of the budget is cash.

For additional information on cost sharing and match, see the DOJ Grants Financial Guide at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.3b.htm>

# What an Application Should Include

For this solicitation, BJA has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet, and Budget Narrative.

1. Project Abstract
2. Program Narrative
3. Budget and Associated Documentation
4. Financial Management and System of Internal Controls Questionnaire (including disclosure of high risk status)
5. Disclosure of Lobbying Activities
6. Applicant Disclosure of Pending Applications
7. Additional Required Attachments
8. Letters of Support or MOUs
9. Mandatory Certification and Coordination Requirement Form
10. Mandatory Chief Executive Assurance to Collect Recidivism Indicator Data

# Examples of Program Components



- ❖ Advisory group engagement
- ❖ Standardized screening
- ❖ CSAMI and criminogenic risk assessment
- ❖ Pre- and/or post-release CSAMI treatment and CBIs
- ❖ Collaborative comprehensive case management with information sharing
- ❖ Performance measurement plan in partnership with an evaluator
- ❖ Link to recovery support services

# Helpful Webinars

## ❖ Submitting Your Application: Avoid These Common Mistakes

- ❖ The importance of using the Application Checklist
- ❖ How applications are successfully submitted
- ❖ How subawards can be incorporated into an application
- ❖ How to attach documents

To watch, go to <https://www.bja.gov/funding/submitting-your-application-avoid-these-common-mistakes-webinar.pdf>

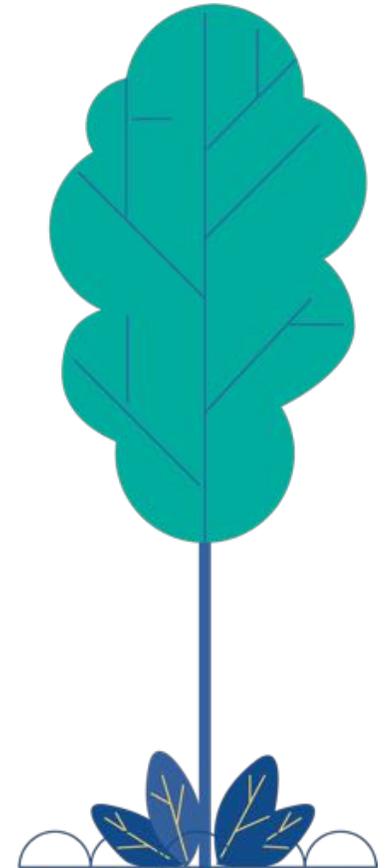
# Helpful Webinars (cont.)

## ❖ Winning Grants—Writing Your Way to Success

- ❖ <https://csgjusticecenter.org/nrrc/webinars/winning-grants-writing-your-way-to-success/>

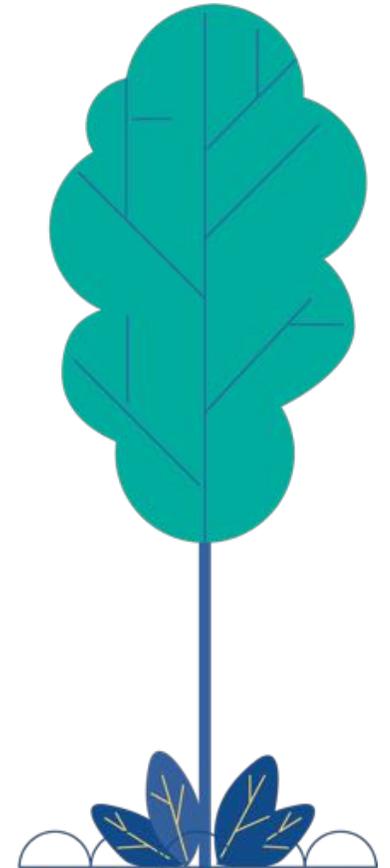
## ❖ Winning Grants—Implementation and Organizational Capacity

- ❖ <https://csgjusticecenter.org/nrrc/webinars/winning-grants-implementation-and-organizational-capacity/>



# Additional Resources

- ❖ [The National Reentry Resource Center \(NRRC\)](#)
- ❖ [Collaborative Comprehensive Case Plan Web-based Tool](#)
- ❖ [Behavioral Health Framework for Adults Under Correctional Supervision](#)
- ❖ [Process Measures at the Interface Between Justice and Behavioral Health Systems: Advancing Practice and Outcomes](#)



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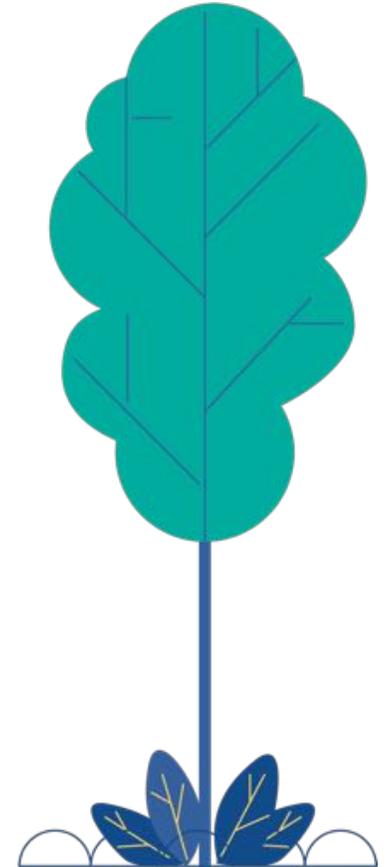
# Financial Information

- ❖ Applicants are strongly encouraged to review the DOJ Grants Financial Guide, found at:  
<https://ojp.gov/financialguide/DOJ/index.htm>
- ❖ DOJ Grants Financial Management Training is available at:  
<https://ojp.gov/financialguide/DOJ/index.htm>



# Applying

- ❖ Applicants must register at <https://www.grants.gov/web/grants/register.html> prior to submitting an application.
- ❖ For complete instructions on how to register and submit an application, visit: <https://www.grants.gov/web/grants/support.html>
- ❖ Applications are due **June 25, 2019 11:59 p.m. ET**



# Important Contact Information

## ❖ For questions about the process of submitting an application:

- ❖ Contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at <https://www.grants.gov/web/grants/support.html>, or at support@grants.gov.
- ❖ The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

## ❖ For assistance with unforeseen Grants.gov technical issues beyond an applicant's control:

- ❖ Contact the National Criminal Justice Reference Service (NCJRS) Response Center at 800-851-3420, via TTY at 301-240-6310 (hearing impaired only);
- ❖ Email grants@ncjrs.gov or web chat at <https://webcontact.ncjrs.gov/ncjchat.jsp>
- ❖ NCJRS Response Center hours are 10 a.m. to 6 p.m. ET, Monday-Friday, and 10 a.m. to 8 p.m. ET on the solicitation close date.

# For Full Details...

Solicitation is available at

<https://www.bja.gov/funding/CSAMI19.pdf>

Applications are due on

❖ **June 25, 2019** .

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# Questions

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