



# Justice Reinvestment Working Group



# Third Meeting

October 22, 2014

**Council of State Governments Justice Center** 

Marc Pelka, Program Director Ed Weckerly, Data Analyst Chenise Bonilla, Program Associate Ellie Wilson, Program Associate

## **Funding and Partners**

# **Justice Reinvestment**

a data-driven approach to reduce corrections spending and reinvest savings in strategies that can decrease recidivism and increase public safety.





## Council of State Governments Justice Center

- National non-profit, non-partisan membership association of state government officials
- Engages members of all three branches of state government
- Justice Center provides practical, nonpartisan advice informed by the best available evidence



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## Two phases of Justice Reinvestment

#### Phase I

## Analyze Data and Develop Policy Options

- · Analyze data
  - Look at crime/arrests, courts, corrections, and supervision trends
- Solicit input from stakeholders
- Assess behavioral health treatment capacity
- Develop policy options and estimate cost savings

#### Phase 2

#### **Implement New Policies**

- Identify assistance needed to implement policies effectively
- Deploy targeted reinvestment strategies to increase public safety
- Track the impact of enacted policies/ programs
- Monitor recidivism rates and other key measures

# Data requests to state agencies largely have been fulfilled

Data Type		Source
- Crime and Arrests - Jail	<b>√</b>	Crime Commission
- Sentencing	$\checkmark$	Administrative Office of the Courts
<ul><li>- Probation Supervision</li><li>- Problem Solving Courts</li><li>- Community Based Programs</li></ul>	<b>\</b> \\	Office of Probation Administration
- Prison - Parole Decision-Making - Parole Supervision	<b>/</b> //	Department of Correctional Services
Other - Population Data - Behavioral Health Data - Criminal History Information	√ N/A	Census/State Data Center Cross-System Sources State Police
	N/A	,

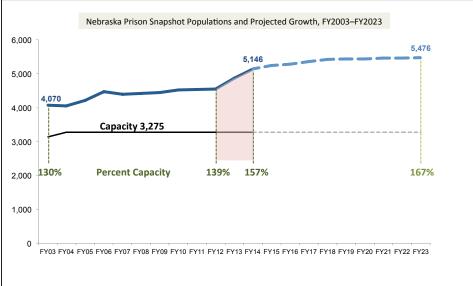
§29-3523 Criminal history record information and dissemination limitations

That part of criminal history record information consisting of a notation of an arrest ... shall not be disseminated to persons other than criminal justice agencies ... except when the subject of the record ... is kept unidentified.

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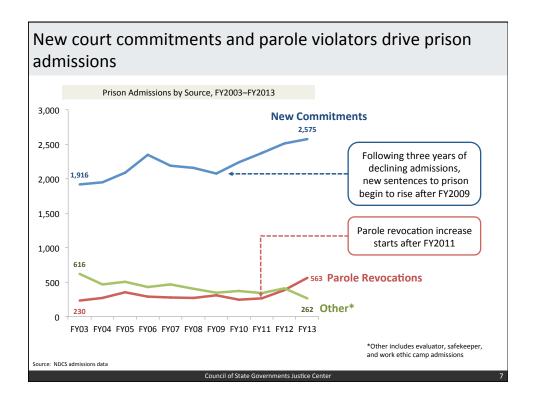
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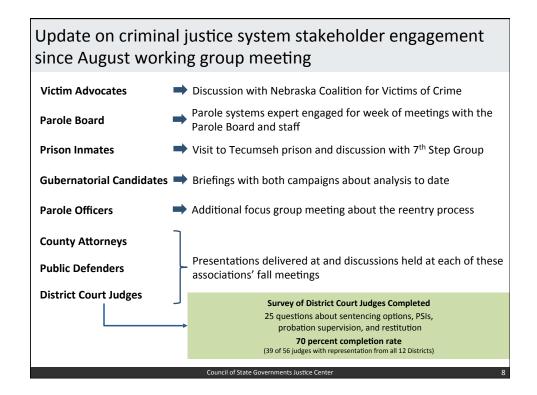
# Nebraska's prisons are projected to reach 167 percent of capacity if nothing is done to avert growth



Source: NDCS annual reports; JFA Institute, NDCS Ten-Year Prison Population Projections, FY2012–2022

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## Nebraska fiscal note example: LB 925 (2006) - Vehicular homicide while DUI

## **Summary of Key Provisions**

- Vehicular homicide while DUI
  - Upgrades from Felony 3A to Felony 3
    - New one-year mandatory minimum
    - Maximum prison sentence doubled to 20 years
    - No probation eligibility
  - Upgrades from Felony 3 to or driving while revoked conviction
    - Maximum term <u>increased to</u>
       Total length: 1 Page 50 years (from 20-year max.)

### **Fiscal Note Summary**

Snapshot table (first FY):

	FY 2006-07				
	EXPENDITURES	REVENUE			
GENERAL FUNDS					
CASH FUNDS					
FEDERAL FUNDS		900,000			
OTHER FUNDS					
TOTAL FUNDS		900,000			

- NDCS estimate:
- "...(NDCS) noted an indeterminate impact to their agency, but that the number of admissions would increase as Felony 2 for those with prior DUI would the length of stay for certain of those admissions."

# Lawmakers considering similar legislation in other states received more detailed fiscal forecasts

	Nebraska	Ohio	North Carolina	Washington
Bill	LB 925 (2006)	НВ 461 (2007)	SB 393 (2011)	HB 1555 (2011)
Key Provisions	Upgrades felony class	Increases mandatory prison term	Upgrades felony class	Upgrades seriousness
FN Length	1 page	13 pages	7 pages	16 pages
Snapshot Table	Positive revenue (federal incentive funding)	Flags subsequent discussion of correctional costs	Bed, staffing, & correctional cost estimates	Flags subsequent discussion of correctional costs
Summary of Corrections Dept. Cost Analysis	"(NDCS) noted an indeterminate impact to their agency, but that the number of admissions would increase as would the length of stay for certain of those admissions."	"Potential incarceration cost increase starting gradually around FY2010 and peaking near FY2020."  Roughly 12 additional beds needed per year.	71 beds at a cost of \$7M, 31 staff, and \$2.3M in additional operating costs by end of FY2015–16	Beginning in FY2018, one additional bed would be required plus an additional bed each year thereafter.  "No capital expenditures are anticipated."

#### **Presentation Overview**



# Manage people convicted of low-level offenses to drive down recidivism

Structure prison sentences to include a period of supervision following release

Enhance post-release supervision to ensure parolees are held accountable

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# The definition of "violent offense" expanded for the purposes of this presentation



# Definition of violent crime in Nebraska

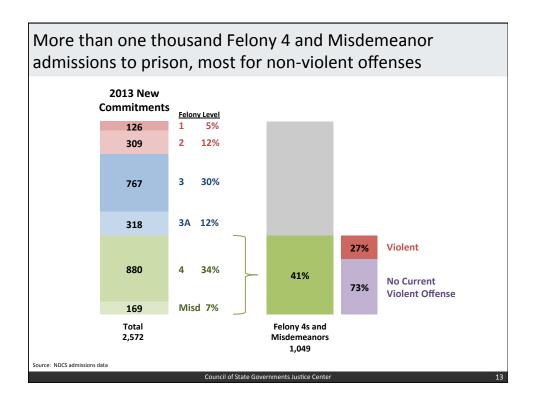
Correctional System Overcrowding Emergency Act (83-961)

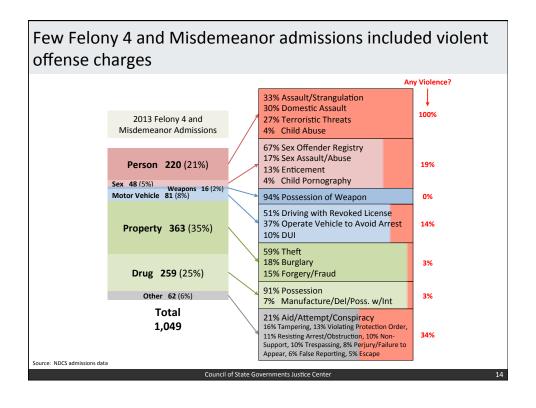
Violent offense means any one or more of the following crimes: Murder in the first degree, murder in the second degree, manslaughter, assault in the first degree, kidnapping, sexual assault in the first degree, or robbery.

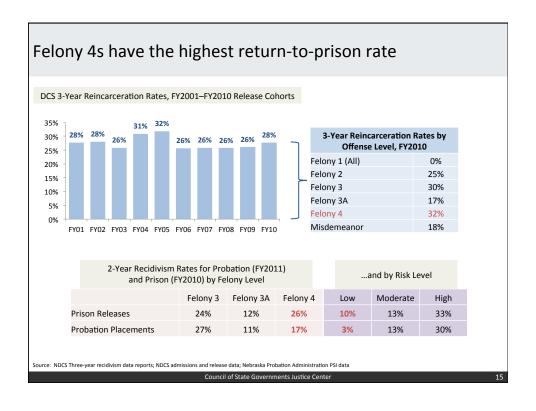
# For analysis purposes we expanded the definition to include additional offenses:

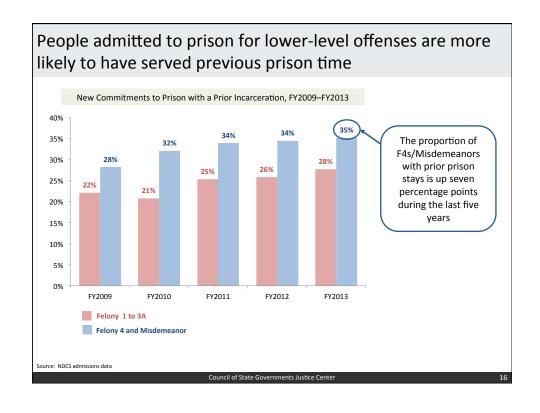
Aggravated and Simple Assaults, Domestic Violence, Abuse, Incest, Terroristic Threats, DUI with Injury, Leaving the Scene of an Injury Accident, Use of a Deadly Weapon to Commit a Felony, Stalking, Violations of Protection Orders, Resisting Arrest, and Animal Cruelty

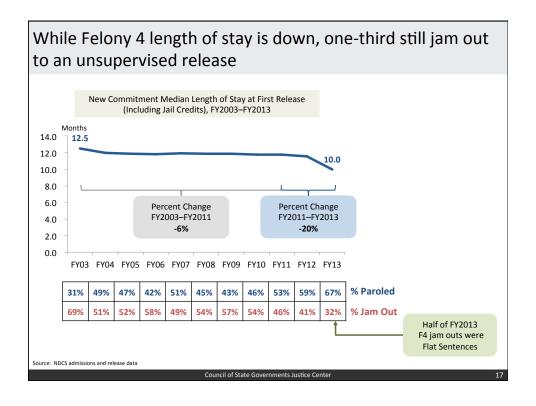
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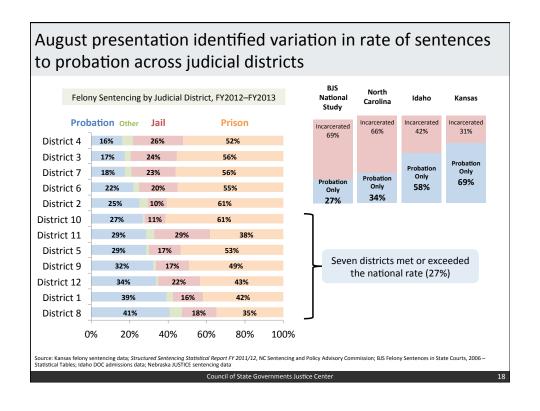


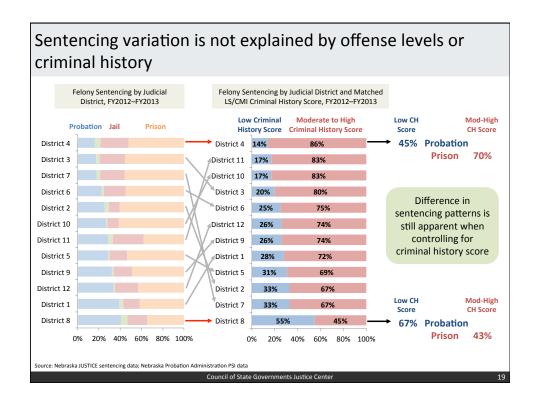


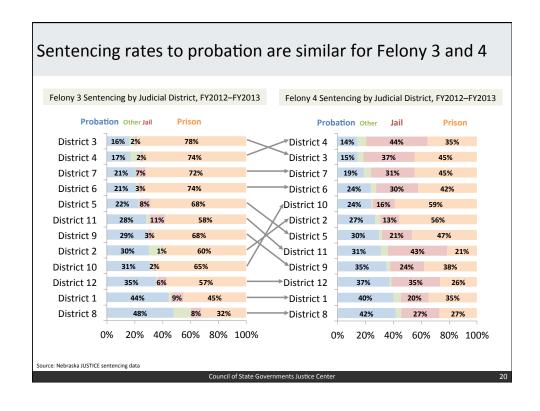


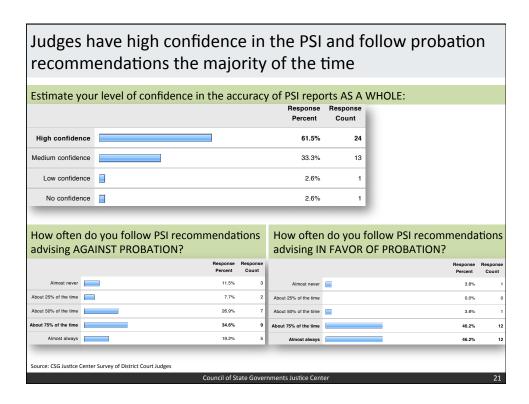


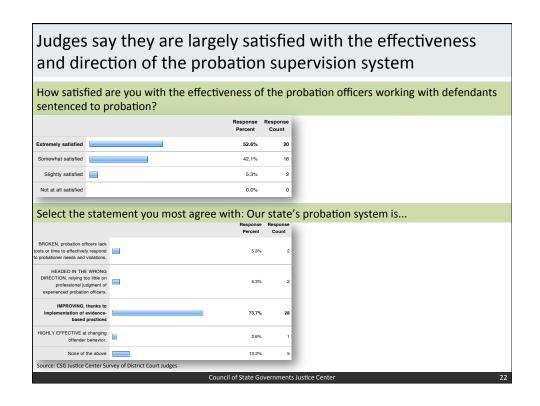


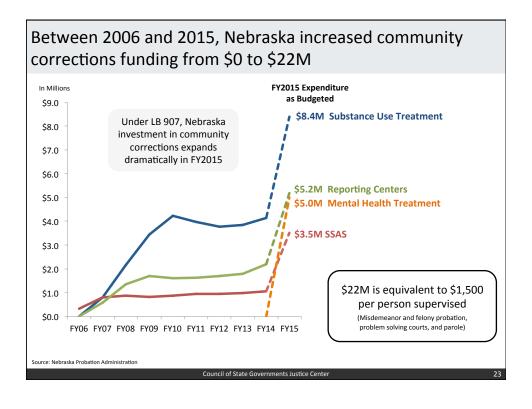




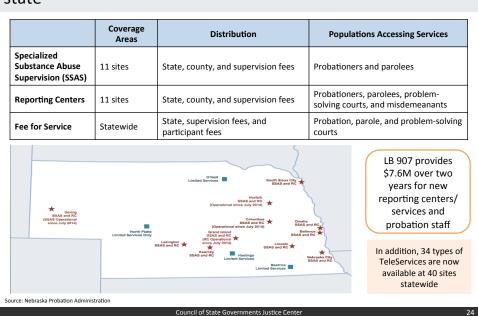






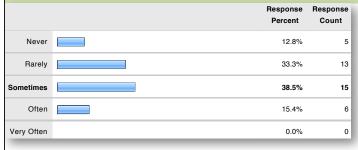


# Community corrections is expanding to cover more of the state



# Concern about community-based services still plays a role in sentencing to incarceration over probation

How often have you had a situation in which a lack of community-based services was the pivotal reason you opted for prison over probation?

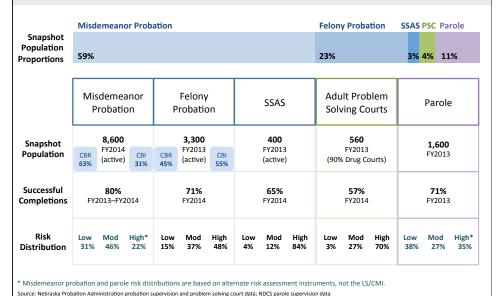


Source: CSG Justice Center Survey of District Court Judges

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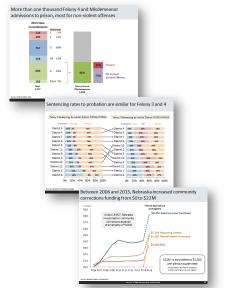
With multiple populations competing for scarce resources, priority needs to be given to more serious high-risk, high-need cases



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## I. Section Summary

- In 2013, there were 1,000 Felony 4 and Misdemeanor prison admissions, 70 percent of which were for violent offenses
- Within a given judicial district, probation sentencing rates differ little between Felony 3 and 4 convictions or across varying criminal history scores
- Since 2006, Nebraska has invested \$22 million in community-based services



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## **Presentation Overview**

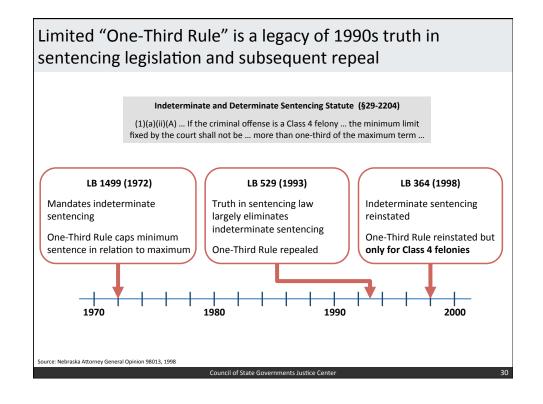
Manage people convicted of low-level offenses to drive down recidivism

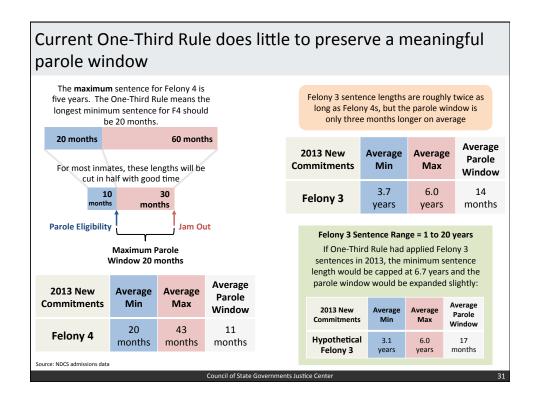


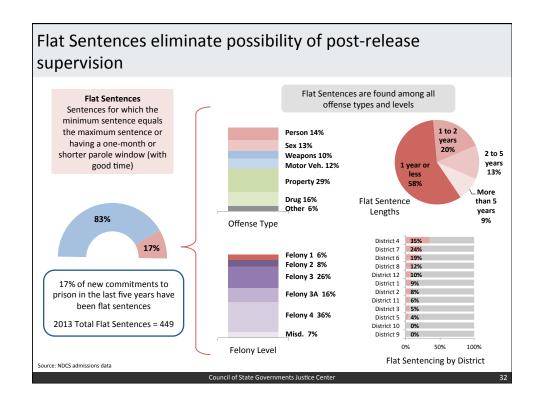
Structure prison sentences to include a period of supervision following release

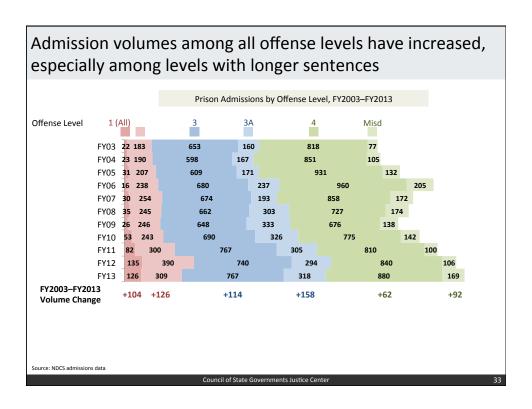
Enhance post-release supervision to ensure parolees are held accountable

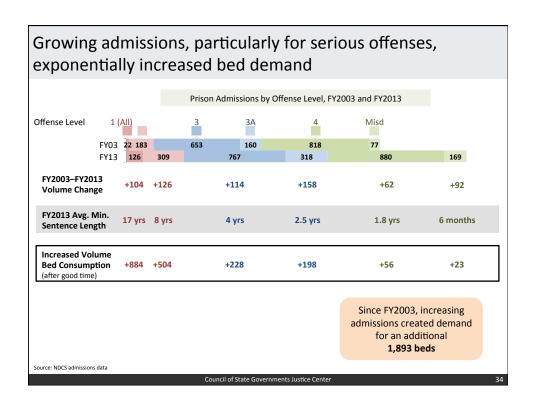
## Judges consider good time and parole release when fashioning sentences When setting a prison sentence, do you account for the impact of good time on length of stay? Response Response Percent 97.4% 38 No 2.6% When setting prison sentences, do you take into consideration how the length of time between the minimum and maximum terms will impact parole opportunities and potential post-release supervision? Response Response Percent Count 79.5% 20.5% Source: CSG Justice Center Survey of District Court Judges

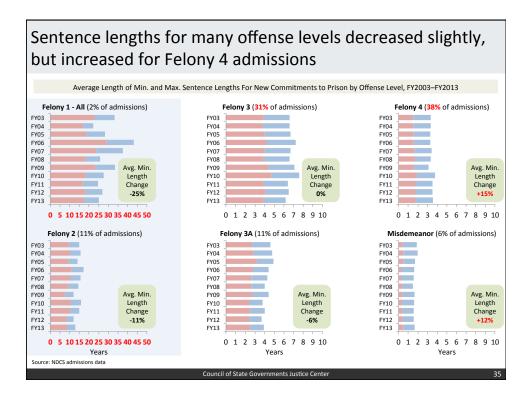


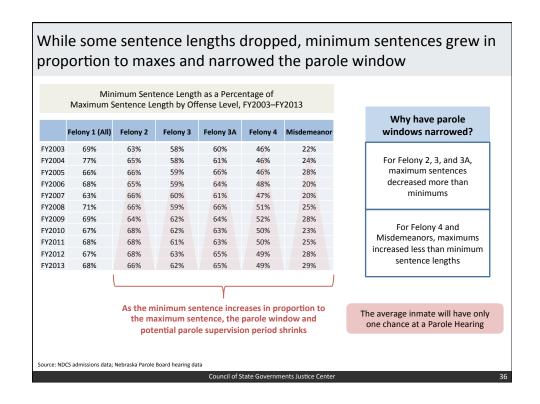


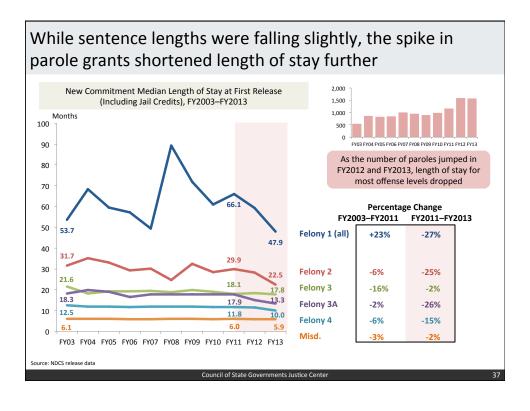


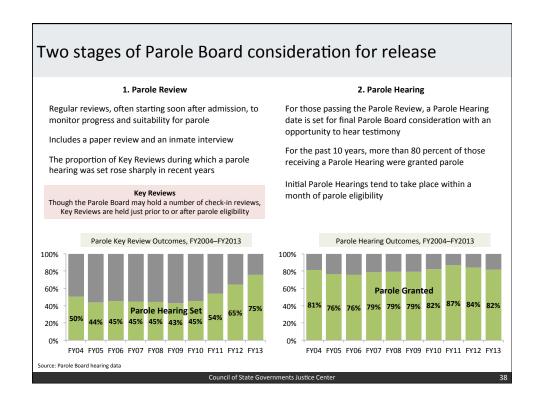


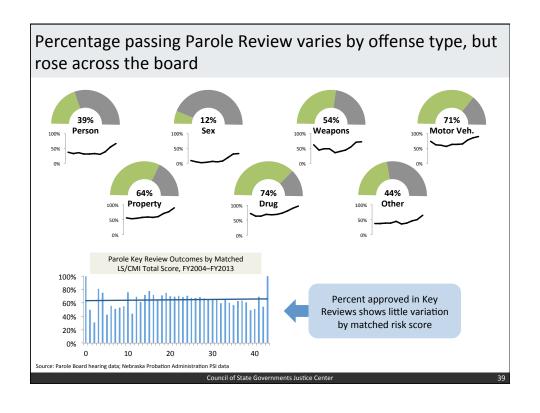


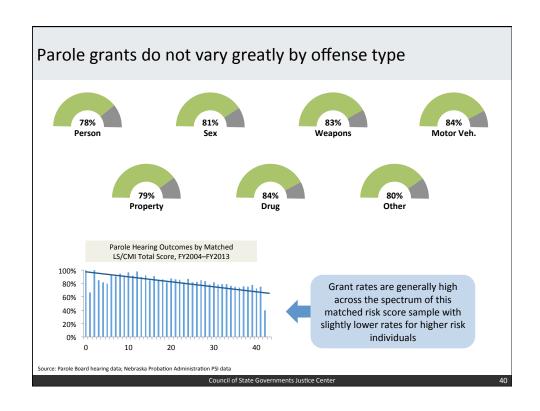


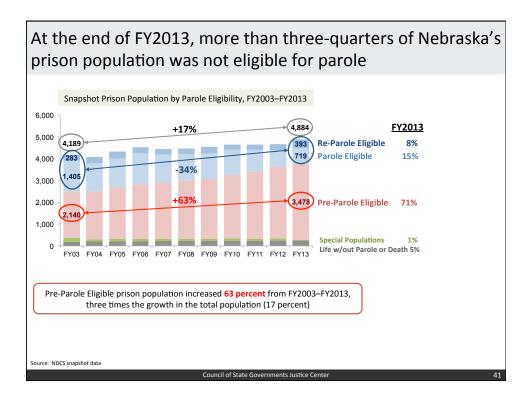


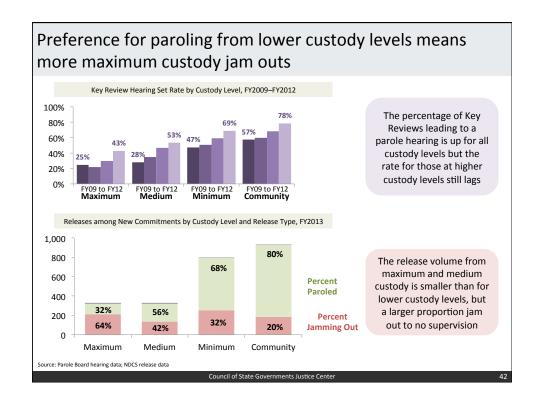


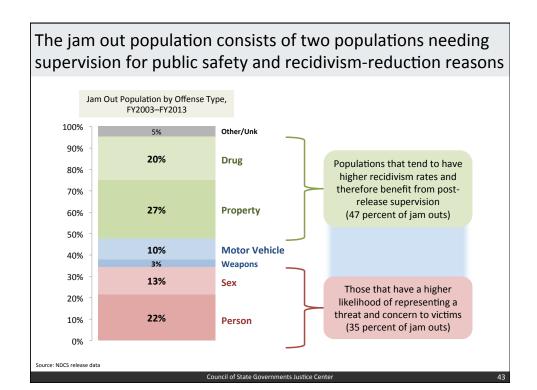


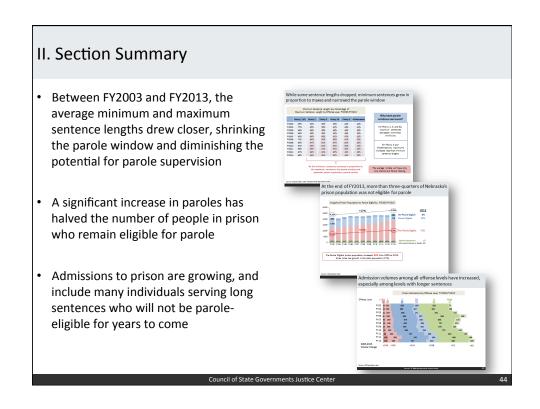


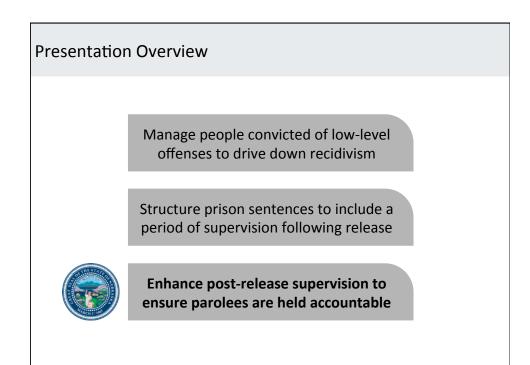


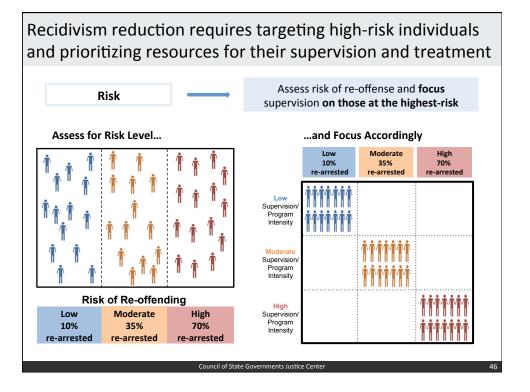












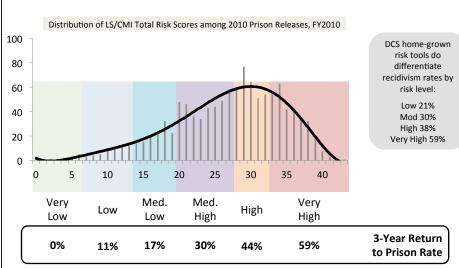
# How risk assessment is used varies across the system

	Courts		DCS		
	Sentencing	Probation	Prison	Parole Release	Parole Supervision
Purpose	Risk of recidivism	Supervision Intensity, Program, Treatment	Program needs	Risk of recidivism	Supervision Intensity, Program, Treatment
Tool Used	LS/CMI	LS/CMI	Institutional Risk & Needs Assessment	Parole Board Risk Assessment	Currently none
Validation	Yes (2014)	Yes (2014)	Yes (2006)	Yes (2006)	N/A
Used to Target Resources	No – but is used to identify criminogenic needs	Yes	No	No	No

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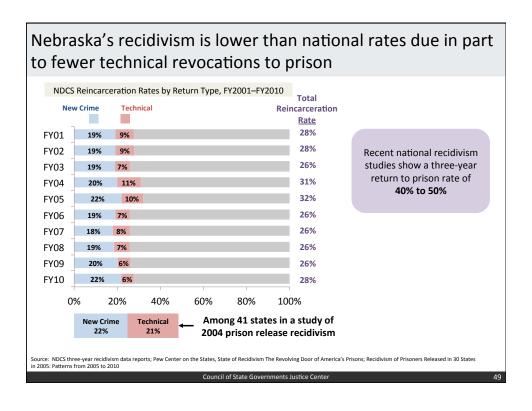
# Risk assessment works, as demonstrated by FY2010 reincarceration rates among prison releases

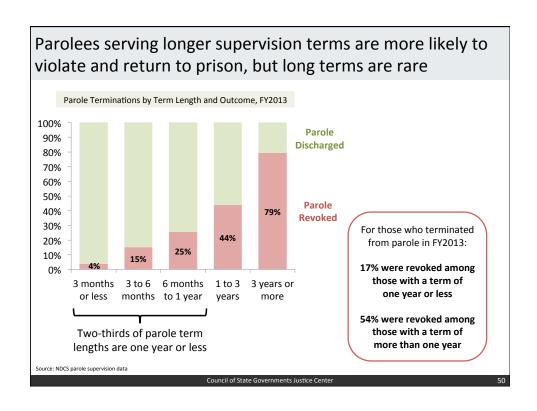


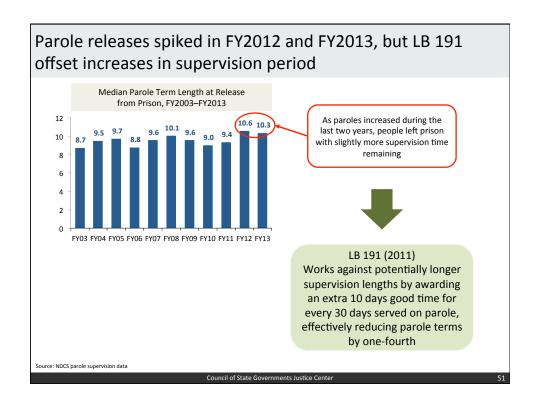
Half of the FY2010 release cohort had a matched LS/CMI risk assessment. All matched assessments were included, regardless of timing.

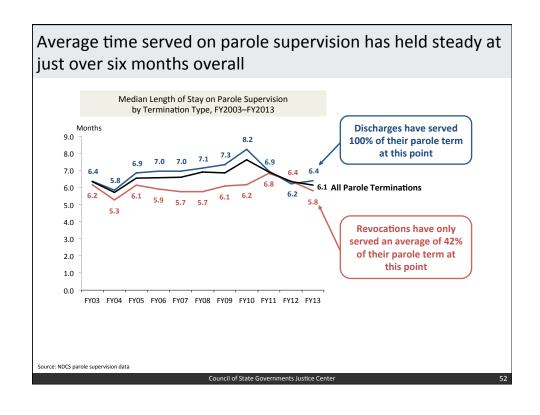
Source: NDCS admissions and release data; Nebraska Probation Administration PSI data

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# Characteristics of effective responses to parolee risk and adherence to conditions of supervision

Dosage Focus supervision and program resources on those at highest-risk for reoffense

Swiftness

Enable officers to respond meaningfully to violations without delay or time-consuming processes

Consistency

Use a graduated range of sanctions and incentives to guide specific type of response to violations

Cost-effectiveness

Prioritize the most expensive, restrictive sanctions for offenders committing the most serious violations

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# Parole administration has not had the opportunity to implement evidence-based practices fully

Dosage



Parolees are not assessed for risk and need to inform frequency of contacts. The population is not sorted across officer caseloads to focus more intensive supervision and treatment on higher-risk parolees.

**Swiftness** 



Parole officers may apply administrative responses to violations, but lack the authority to impose short jail stays as sanctions for more serious violations .

Consistency

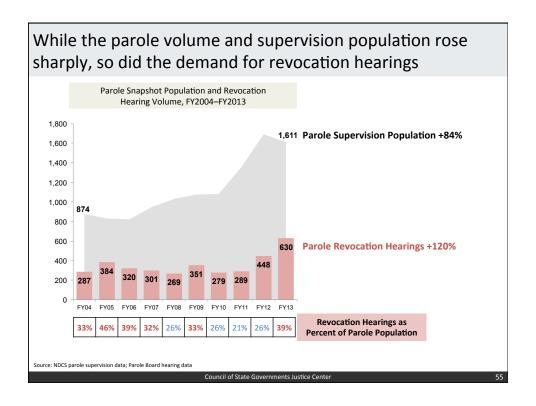


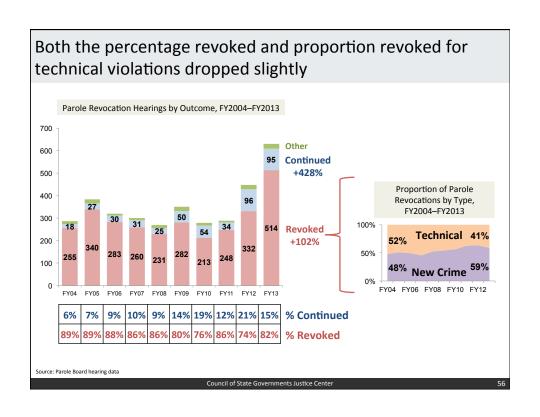
A graduated violation matrix is used, but is not mandatory and the extent to which responses are applied consistently to violations is unclear. Risk assessment could help guide most intensive responses for higher-risk parolees.

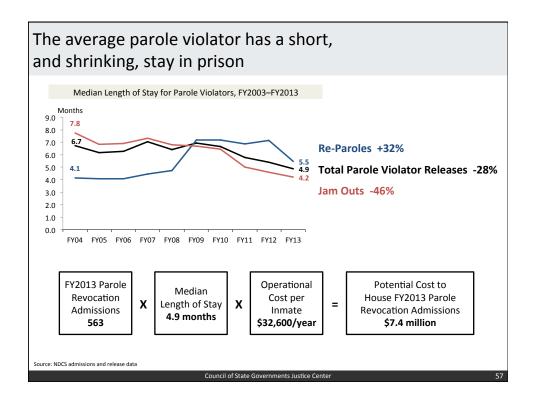
Cost-effectiveness

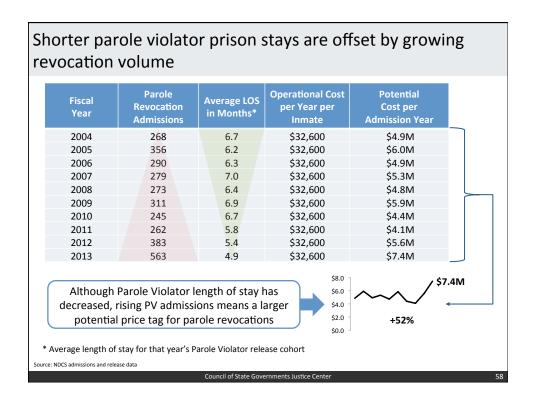
Intensive supervision practices, such as electronic monitoring, are not prioritized for the highest-risk, most serious cases. The volume of revocation hearings appearing before the board is up, causing delays between the violation and the response.

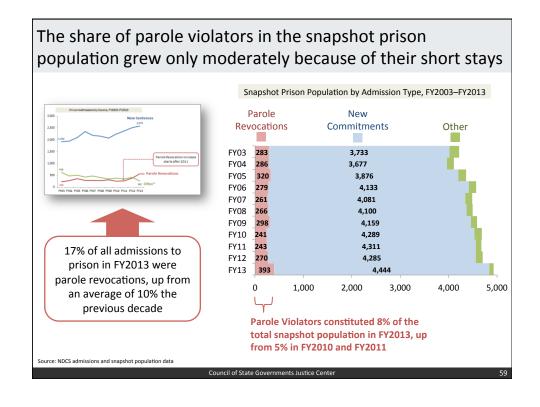
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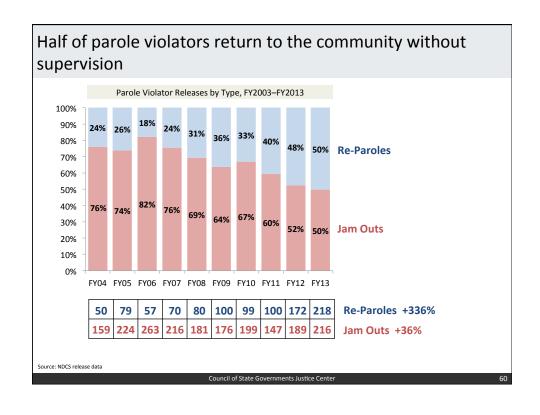






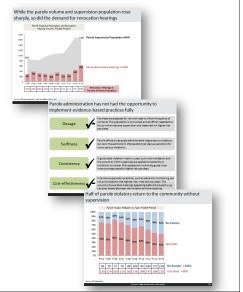






## III. Section Summary

- Without first implementing evidencebased practices, the parole supervision system has had to manage a 49 percent increase in the parolee population since FY2010.
- Responses to parole violations lack cost-effectiveness and the swiftness necessary to change parolee behavior
- Parole violators revoked to prison are not required to return to supervision upon release



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# Section I: Manage lower-level convictions to drive down recidivism

(1) More than 1,000 people convicted of Felony 4s and Misdemeanors were admitted to prison (41 percent of admissions) in 2013, mostly for nonviolent offenses.

Brief incarceration without meaningful programming and little to no post-release supervision delays but does not reduce recidivism.

Individuals sentenced to probation had lower recidivism rates than those sentenced to prison for similar offenses and all at a lower cost

(2) Many judicial districts do utilize probation for such individuals, but the highest-volume districts depend on short prison terms instead.

Nebraska's probation system utilizes evidence-based practices, such as supervising the highest-risk offenders most intensively.

On probation, individuals are held accountable for one or more years, can be sanctioned for noncompliance, and are required to complete necessary treatment.

(3) Since 2006, Nebraska invested \$22 million in community-based treatment programs for those under supervision to reduce recidivism.

These program resources are spread across multiple supervision populations, including Misdemeanors.

Focusing resources on individuals most likely to reoffend and with felony sentences would provide better public safety payoff.

# Section II: Structure sentences to include post-release supervision and prioritize prison space for the most serious and violent offenses

(1) Sentencing practice narrows the window for the Parole Board to act, and allows for only short post-release supervision. When the parole window closes, the individual must be released without supervision.

From 2003 to 2013, the average minimum and maximum sentence lengths drew closer, shrinking the parole window and diminishing the potential for parole supervision.

#### (2) The spike in paroles halved the number of people still in prison who are parole eligible

Between 2009 and 2013, the number of annual parole grants increased 78 percent, from 890 to 1,590.

In 2003, 33 percent of the prison population had served their minimum sentence and were eligible for parole. By 2013, this population fell by half, with only 15 percent of the prison population eligible for parole.

# (3) Prison admissions are growing, including individuals convicted of long sentences not parole-eligible for years to come.

New sentence commitments to prison increased 24 percent since 2009. With these admissions came an influx of people with long sentences, who will consume prison space for many years before becoming parole-eligible.

Nebraska needs to ensure that prison space is prioritized for people convicted of the most serious and violent offenses.

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# Section III: Enhance post-release supervision to hold parolees accountable

(1) A 49 percent increase in the parolee population since FY2010 occurred without the parole supervision system first implementing evidence-based practices

Focusing supervision resources and prioritizing treatment for higher-risk parolees will enable the system to manage larger volumes of parolees for longer periods.

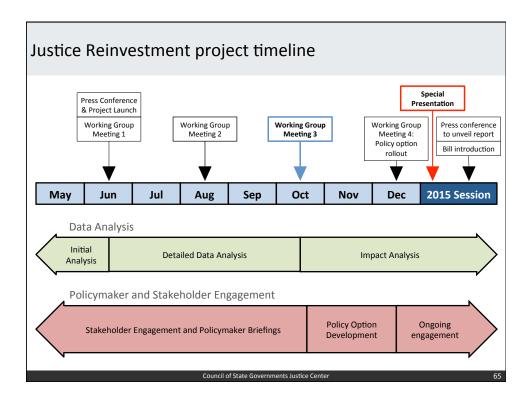
# (2) Responses to parole violations lack the swiftness and cost-effectiveness to change parolee behavior

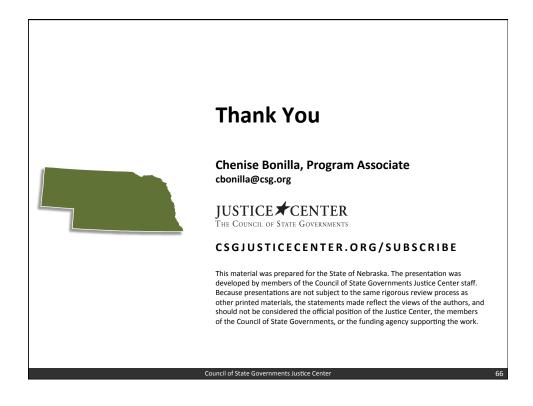
Between 2010 and 2013, parole revocation hearings increased 126 percent, from 279 to 630. Relying on the Parole Board to hear these cases is less effective than enabling parole officers to respond to violations with swiftness, certainty, and proportionality.

#### (3) Parole violators revoked to prison are not required to return to supervision upon release

Half of the people who are revoked from parole supervision and returned to prison serve the remainder of their sentence in prison and are released unsupervised.

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