FY2020 SECOND CHANCE ACT PAY FOR SUCCESS INITIATIVE: **OUTCOMES-BASED CONTRACTING** TO LOWER RECIDIVISM AND **HOMELESSNESS KICKOFF WEBINAR**





Speakers

- Andre Bethea, Bureau of Justice Assistance
- Christine Lindquist, RTI International
- Kelly Walsh, Urban Institute
- Sarah Wurzburg, The Council of State Governments Justice Center



Agenda

- 1. Introduction & Recap FY2021 SCA Welcome Webinar
- 2. Pay for Success Initiative: Outcomes-Based Contracting to Lower Recidivism and Homelessness Overview
- 3. Getting Started
- Evaluation and Sustainability Training and Technical Assistance
- 5. Next Steps
- 6. Questions and Answers

Agenda Item #1

INTRODUCTION





The Second Chance Act (SCA)

- The Second Chance Act supports state, local, and tribal governments and nonprofit organizations in their work to reduce recidivism and improve outcomes for people leaving incarceration.
- The Second Chance Act has supported over \$500 million in reentry investments across the country since it passed in 2008.
- Passed in 2018, the Second Chance Reauthorization Act builds on and strengthens the initial landmark legislation.



Key Program Name Partners

Grantees

3
state, local,
tribal
agencies

Funder

BJA

Training and
Technical
Assistance
Provider (TTA)

The Council of
State
Governments
Justice
Center/Urban
Institute

National Reentry Resource Center

American Institutes for Research (AIR)



The U.S. Department of Justice Bureau of Justice Assistance

 Mission: To provide leadership and services in grant administration and criminal justice policy development to support local, state, and tribal justice strategies to achieve safer communities.









Delivers TTA for SCA grantees focused on outcomes-based contracting, permanent supportive housing, reentry, and recovery housing.

- Content expertise
- Project management support
- Connections to peer networks and resources











The National Reentry Resource Center (NRRC)

The American Institutes for Research (AIR) operates the NRRC as a collaborative project of BJA and OJJDP.

The primary mission of the NRRC is to advance the knowledge base of the reentry field.

The NRRC serves as a convener and coordinator of SCA grantees.





Attendee Poll

- Have you previously received a BJA award?
 - Yes
 - ☐ No
- If so, have you previously received an SCA award?
 - ☐ Yes
 - □ No

Agenda Item #2

PAY FOR SUCCESS **INITIATIVE: OUTCOMES-BASED CONTRACTING TO** LOWER RECIDIVISM **AND HOMELESSNESS**



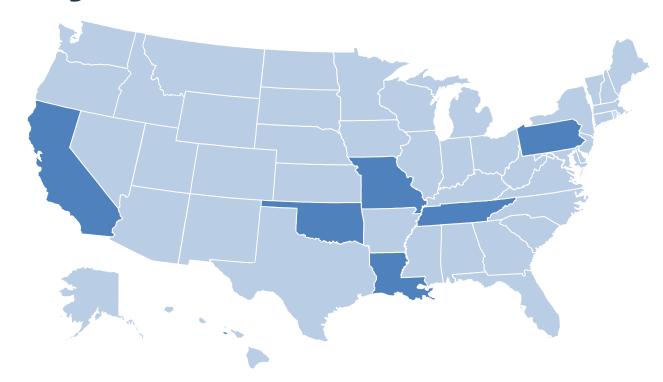


Award History

Began in FY2019

\$13,000,000 awarded to date

6 grants to state, local, tribal governments





FY2020 Grantees

Congratulations!

- Beaver County, Pennsylvania
- Cherokee Nation, Oklahoma
- Department of Public Safety & Corrections, Louisiana



Program Objectives

- Improve the capacity and effectiveness of governments to pay for outcomes rather than inputs and outputs.
- The goal of these outcomes-based contracts is to improve recidivism, housing, and functional outcomes (increases in number of nights housed in a permanent supportive housing unit and reduction in number of nights spent in emergency facilities) for service recipients while improving public safety and saving taxpayer dollars.



Category 1: Outcomes-Based Contracting for Reentry Services

- Enter into an outcomes-based contract (OBC) to provide individualized (as
 documented in a case management plan informed by a validated needs
 assessment) reentry services for people leaving incarceration who are identified
 through a validated risk tool as being at a moderate to high risk to reoffend in
 the community.
- Manage the outcomes-based reentry service contract, including data collection and reporting, regular performance and outcome reviews between the government and service provider, on- and off-site monitoring, outcomes validation, and incentive payment approvals.
- Ensure that government staff and service providers have adequate training on SCA OBC procurement, reentry service/intervention, data collection, and outcomes validation.



Program Deliverables: Cat. 1

- Analysis that demonstrates reentry service need, based on evidence, and data indicating the number and needs of people to be served
- Analysis that demonstrates the outcomes selected for payment
- Analysis that demonstrates the grantee's decision about the outcomes-based contract structure (e.g., phased approach over the period of the contract, inclusion of a hold-harmless period, etc.), repayment strategy (e.g., unit of outcome achieved, weighted incentives, percent change in outcomes, tiered payment scheduled, bonus payments), and timing of payments
- First-year-of-contract term report
- Close-out report, including outcomes achieved and payments made



Category 2: Outcomes-Based Contracting for Permanent Supportive Housing (PSH)

- Enter into an outcomes-based contract to provide permanent supportive housing services for a specific subset of the community's population who exceed identified thresholds for hospital and homeless system service use and jail bookings.
- Manage the outcomes-based permanent supportive housing services contract, including data collection and reporting, regular metric and outcome reviews between the government and service providers, on- and off-site monitoring, outcomes validation, and incentive payment approvals.
- Ensure that government staff and service providers have adequate training on SCA OBC procurement, permanent supportive housing service/intervention, data collection, and outcomes validation.



Program Deliverables: Cat. 2

- Analysis that demonstrates permanent supportive housing service need, including the following data: (1) jail, (2) homeless management information system, (3) health care system, and (4) other services to support the number and needs of the people to be served and ensure that the intended target population is identified and provided with contracted services
- Analysis that demonstrates the outcomes selected for payment
- Analysis that demonstrates the grantee's decision about the outcomes-based contract structure, repayment strategy, and timing of payments
- First-year-of-contract term report
- Close-out report, including outcomes achieved and payments made



Investments and Improvements

- OBC for:
 - Permanent supportive housing
 - Reentry services and support
 - Recovery housing
- Use of data analysis for outcomes, selected payment, and determination of contract structure
- Clear identification of target population through data
- Ongoing reporting on contract through term reports and contract progress through TTA

Agenda Item #3

GETTING STARTED





Planning Process

- 12-month planning process
- \$200,000 of grant funds available
- Guided by TTA coach
- Work with partners to complete action plan

The Action Plan

- 1. Analysis of need
- Analysis of outcomes for selected payment
- 3. Analysis that demonstrates the grantee's decision about the outcomes-based contract structure
- Special withholding conditions removed



Planning Phase

Analysis 1: Service Need

 Analysis that demonstrates service need, including specific data requirements for each grant category

Analysis 2: Outcomes

Analysis that demonstrates the outcomes selected for payment



Planning Phase

Analysis 3: Outcomes-Based Contract Structure

 Analysis that demonstrates the grantee's decision about the outcomesbased contract structure



Final analyses submitted to BJA for approval

 Submit the three analyses to BJA for approval to move to the implementation phase.



Implementation Deliverables

First Year of Contract Term Report

After the first year of the contract term, submit a report to BJA.



Close-Out Report

Close-out report, including outcomes achieved and payments made

Agenda Item #4

EVALUATION AND SUSTAINABILITY TRAINING AND **TECHNICAL ASSISTANCE**





Evaluation and Sustainability Training and Technical Assistance (ES TTA)





This project is funded by Grant No. 2019-MU-BX-K041 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice.



About ES TTA

- Conducted by RTI International and the Center for Court Innovation with funding from BJA (2019–2023).
- The project currently supports selected FY18 and FY19 SCA grantees in conducting more rigorous evaluations and effectively planning for sustainability.
- Some FY20 grantees may be offered this opportunity for more intensive TTA focused on evaluation and sustainability.
- All grantees receiving ES TTA will continue to have their primary TA contact provide programmatic support.



ES TTA Resources for all Grantees

- The Second Chance Act Grantee's Guide to Local Evaluation Milestones (resource brief and accompanying infographic)
 - Identifies the key evaluation milestones for grantees to achieve at each stage in the SCA grant life cycle and helps keep grantees on track
- Improving Evaluation Readiness for Second Chance Act Programs (resource brief and accompanying planning guide)
 - Summarizes key steps that grantees and research partners can take to build a program's evaluation readiness and increase capacity for evaluation.

Agenda Item #5

NEXT STEPS





To-Do List

- ✓ Contact BJA Programs about budget clearance.
- ✓ Set up a monthly call with your TTA provider.
- ✓ Review proposal.
- ✓ Complete the action plan (three analyses).

Last Agenda Item

QUESTIONS AND ANSWERS





Points of Contact

BJA

- Andre Bethea, <u>Andre Bethea@usdoj.gov</u>
- Zafra Stork,
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- Performance Management Tool Helpdesk bjapmt@usdoj.gov

<u>TTA</u>

- Kelly Walsh, <u>KWalsh@urban.org</u>
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THANK YOU!

kdupree@csg.org, more resources available at https://csgjusticecenter.org

