



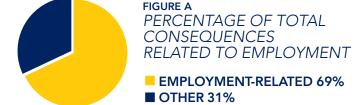
CONNECTICUT

SNAPSHOT OF EMPLOYMENT-RELATED COLLATERAL CONSEQUENCES

JANUARY 2021

The National Inventory of Collateral Consequences of Conviction (NICCC) is an online database that catalogs the state and federal statutes and regulations that limit or prohibit people convicted of crimes from accessing various rights, benefits, and opportunities.

As of 2020, the NICCC identifies 559 provisions of Connecticut law that impose these "collateral consequences," a large majority of which act as barriers to employment for people with criminal convictions (see FIG. A). This fact sheet provides an overview of employment-related collateral consequences in Connecticut.



TYPES OF EMPLOYMENT-RELATED CONSEQUENCES

Collateral consequences impact employment opportunities either by restricting access to occupational licenses needed to work in certain fields, restricting access to business licenses needed to pursue self-employment, or directly limiting the ability of employers to hire or retain workers with certain conviction histories. FIG. B shows the number of Connecticut consequences that impact each of these three employment-related opportunities.

FIGURE B

NUMBER OF EMPLOYMENT-RELATED CONSEQUENCES BY TYPE

201 OCCUPATIONAL LICENSING

175 BUSINESS LICENSING

138 EMPLOYMENT (DIRECT)

IMPACTED FIELDS

Collateral consequences affect a range of employment fields. FIG. C identifies the top 10 fields impacted by employment-related consequences in Connecticut. The figures indicate the number of employment-related consequences that impact each field.

FIGURE C

FIELDS MOST IMPACTED

69 HEALTH CARE

41 EDUCATION & SCHOOLS

33 PUBLIC EMPLOYMENT

33 GAMING, LOTTERY & RACING

30 BANKING, LENDING, SECURITIES & FINANCE

23 TRANSPORTATION & COMMERCIAL MOTOR VEHICLES

22 INSURANCE SALES & SERVICE

20 REAL ESTATE & PROPERTY

17 COURT PERSONNEL, GENERAL LEGAL SERVICES & BAIL BONDS

16 CONSTRUCTION, ETC.

*Includes construction, improvement & repair, engineering, plumbing, HVAC, surveying, design & architecture

DISCRETION IN APPLICATION

State law determines whether a consequence must be imposed or may be imposed. Mandatory consequences generally prohibit, without exception, the employment, retention, or licensing of a person with a conviction for a specified offense. Discretionary consequences authorize employers, licensing entities, and other decision-makers to impose a consequence, but do not require that they do so. Note that some laws require criminal background checks of applicants for employment or licensure without indicating how the decision-maker should act in response to the results. For the purposes of FIG. D, these "background check-only" provisions are considered discretionary consequences. FIG. D indicates the percentage of Connecticut's employment-related consequences that are either mandatory or discretionary.

FIGURE D DISCRETION TO IMPOSE CONSEQUENCES

DISCRETIONARY 68%

MANDATORY 32%

DURATION OF EFFECT

Employment-related consequences may remain in effect for a time-limited duration (usually a specific length of time, beginning on the date of conviction or completion of sentence) or may be indefinite in duration (i.e., no specific time included in the law). FIG. E indicates the percentage of Connecticut's employmentrelated consequences that are either timelimited or indefinite. For the purposes of FIG. E, consequences that end once a person satisfies some legal condition, such as completing a drug treatment program or driver training course, are treated as time-limited. Note that a consequence described as indefinite may not be permanent if state or federal law allows the consequence to be removed through a legal process such as criminal record clearance or executive pardon (see General Relief from Collateral Consequences for more).

FIGURE E CONSEQUENCE DURATION

INDEFINITE 84%

TIME-LIMITED 16%

TRIGGERING OFFENSES

Collateral consequences can be triggered by convictions for a wide range of criminal offenses. Some consequences are triggered by specific offenses, while others are triggered by broader categories of offenses such as "any felony" or "violent crimes." FIG. F highlights some of the triggering offense categories used by the NICCC and the number of Connecticut's employment-related consequences triggered by convictions within each category. Note that a consequence assigned to a triggering offense category like "controlled substances offenses" may be triggered only by one specific type of controlled substance offense in the jurisdiction, not all controlled substances offenses.

FIGURE F

NUMBER OF EMPLOYMENT-RELATED CONSEQUENCES TRIGGERED BY OFFENSE CATEGORIES

196 ANY FELONY

159 ANY CRIME

92 CRIMES INVOLVING FRAUD OR DISHONESTY

57 CONTROLLED SUBSTANCES OFFENSES

50 CRIMES OF VIOLENCE & SEX OFFENSES

52 OTHER CATEGORIES (EXCLUSIVE)

GENERAL RELIEF FROM COLLATERAL CONSEQUENCES

Connecticut law provides the following broadly effective mechanisms that may reduce the legal effects of employment-related collateral consequences:

LIMITS ON CONSIDERATION OF CONVICTIONS:

Most public employers and licensing entities may not disqualify an applicant due to conviction without first considering various factors including whether the conviction is related to the job or licensed activity.

JUDICIAL ORDERS OF RELIEF: These relieve one or more mandatory employment-related consequences, subject to the discretion of the Board of Pardons & Parole.

EXECUTIVE PARDON: An executive pardon relieves employment-related consequences and results in "erasure" of the criminal record. Pardons are routinely granted by the Board of Pardons & Parole after a waiting period of three to five years.