Planning and Implementation Guide

FY2021 Justice and Mental Health Collaboration Program

Description

Grantees will complete this guide in partnership with the technical assistance coach from The Council of State Governments Justice Center. This Planning and Implementation Guide is intended for recipients of Justice and Mental Health Collaboration Program grants administered by the U.S. Department of Justice’s Bureau of Justice Assistance.

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**About the Planning and Implementation Guide**

The Council of State Governments (CSG) Justice Center has prepared this Planning and Implementation Guide to support grantees in developing and refining their justice and mental health initiatives to improve outcomes for people in the criminal justice system who have mental health conditions and/or co-occurring mental health conditions and substance use disorders. The guide is not intended to serve as a step-by-step blueprint, but rather to foster discussion on best practices, identify considerations for your collaborative effort, and help you work through key decisions and implementation challenges.

The guide was developed as a tool for grantees, but it also serves as an important mechanism for your CSG Justice Center technical assistance coach (TA coach) to understand the status and progress of your project, the types of challenges you are encountering, and the ways your TA coach might be helpful to you in making your project successful.

You and your TA coach will use your responses to collaboratively develop priorities for technical assistance.

Any questions about this guide should be directed to your TA coach.

**Contents of the Guide**

The guide is divided into seven sections, each with assessment questions, exercises, and discussion prompts. The self-assessment questions and exercises are built on evidence-based principles and emerging practices. You will be prompted to write short responses, attach relevant documents, and/or complete exercises for each section. Your answers will provide insight into your initiative’s strengths and identify areas for improvement. Your TA coach may also send you additional information on specific topics to complement certain sections. If you need additional information or resources on a topic, please reach out to your TA coach.

|  |
| --- |
| TA Coach Contact Information |
| Name: |  |
| Phone: |  |
| Email: |  |

|  |
| --- |
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**SECTION 1: GOALS**

Please provide the following documents, if available, to your TA coach:

[ ]  Memoranda of Understanding (MOUs), Data Use Agreements (DUAs), and any other agreements

[ ]  Program policy and procedure manual(s)

[ ]  Current strategic plan

[ ]  Program flow chart

[ ]  Training course materials

[ ]  System/Program inventory/gap/needs/capacity analysis

[ ]  Data/Performance measurement tools (PMT)

[ ]  Program evaluation plan

* 1. **Basic Information**

***1.1.1 Grantee Information***

1. Grantee Name and Award Number:
2. Geographic Location: *Indicate the specific city, county, or state where your program operations primarily occur. Additionally, please indicate if your jurisdiction is primarily rural, suburban, urban, or a mixture of these.*

3. Project Name:

4. Mental Health Partner(s):

5. Criminal Justice Partner(s):

6. Point(s) of Contact for Mental Health and Criminal Justice Partners:

Name:

Email:

Agency:

Name:

Email:

Agency:

Name:

Email:

Agency:

***1.1.2* *Grant Initiative Updates and Information***

1. Have there been any changes to the initiative or its goals as outlined in your grant proposal between the time you wrote the grant application/narrative and your first TA call? (Your answer should include any changes in budget, evidence-based practices, partnerships/collaborations, programming, screening and assessment tools, or staffing (e.g., due to COVID-19). If any programmatic, administrative, or financial changes have been made since you submitted your grant proposal, you are required to submit a Grant Adjustment Modification (GAM) through the GAM module in the JustGrants System. Please note that GAMs are subject to approval by the Bureau of Justice Assistance (BJA).

[ ]  Yes *(Please specify.)*

[ ]  No

1. Are there any other initiatives in your jurisdiction funded through either the Justice and Mental Health Collaboration Program (JMHCP), BJA’s Second Chance Act, or the Comprehensive Opioid, Stimulant, and Substance Abuse (COSSAP) grant program? If so, what is the relationship between those initiatives and this grant project?
2. How is your agency addressing challenges related to COVID-19 (e.g., providing telehealth, reducing center capacity, providing temperature screens)?

***1.1.3 Grant Initiative Focus***

*JMHCP grantees are encouraged to think about a systems-level approach to improving outcomes for people who have mental health conditions and/or and/or co-occurring mental health conditions and substance use disorders, which should include input from criminal justice, and mental health and substance use disorder system leaders. The following questions and activities will help you establish a baseline about what is happening in your system and define how this grant program fits into a cross-systems response strategy.*

1. Has your jurisdiction ever conducted a system-mapping exercise, gap analysis, or other assessment about the services available in your jurisdiction for people with mental health conditions and/or co-occurring mental health conditions and substance use disorders?

[ ]  Yes (Please elaborate and attach the assessment to this guide).

[ ]  No

1. In the table below,[[1]](#footnote-1) indicate in which intercepts your grant program functions. (Note: programs may fall under multiple intercepts).

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Intercept 0**Community Services | **Intercept 1**Law Enforcement | **Intercept 2**Initial Detention/Initial Court Hearings  | **Intercept 3**Jails/Courts | **Intercept 4**Reentry | **Intercept 5**Community Corrections |
| Check all that apply. |  |  |  |  |  |  |

1. Are you aware of any plans to implement additional diversion programming in your agency and/or criminal justice system for people with mental health conditions and/or co-occurring mental health conditions and substance use disorders?

[ ]  Yes (Please describe.)

[ ]  No (Why not?)

1. What levels of care do the mental health partner(s) associated with your grant project provide?
2. What levels of care do the substance use disorder partner(s) associated with your grant project provide?
3. What are some service gaps in your jurisdiction that could limit people’s access to mental and behavioral health care?

1. Are you planning to implement any strategies to increase access to services for Black, Indengious, and people of color (BIPOC)?

[ ]  Yes (Please describe.)

[ ]  No (Why not?)

**SECTION 2: COLLABORATIVE PARTNERSHIPS**

Having a successful implementation team (i.e., the group that works directly on grant program implementation) and interagency workgroup (i.e., the larger group of people that has a vested interest in the program, such as a criminal justice and behavioral health council or advisory council) are critical to program success. The interagency workgroup, inclusive of the JMHCP grant-funded justice and mental health partners, should also have perspectives from various community members, including elected officials, leaders of faith-based communities, victims of crime, consumers of mental health services and their family members, and people who have been incarcerated and their family members.

**2.1 Implementation Team and Interagency Workgroup**

***2.1.1 Implementation Team***

1. Which agencies and individuals are included in your implementation team?
2. How often will/does the implementation team meet?
3. Does the implementation team have a relationship with other local- or state-level task forces, councils, or advisory committees?

[ ]  Yes (Please describe.)

[ ]  No

1. Are there interagency agreements, MOUs, policies and procedures, or similar documents that define responsibilities for implementation team members?

[ ]  Yes (Please describe and attach.)

[ ]  No (Why not?)

1. Do you anticipate needing any other types of agreements to make the implementation team collaboration a success?

[ ]  Yes (Please describe.)

[ ]  No

***2.1.2 Interagency Workgroup***

1. Provide a list of the members, titles, contact information, organizations, areas of focus, and specific roles on the interagency workgroup. If the composition of your interagency workgroup has not yet been finalized, please list the people you intend to engage to participate, even if you haven’t yet done so. In your list, make sure to include each person’s name, title, organization, and formal role in the workgroup.

*Consider including representatives of the following institutions/groups in your interagency workgroup: mental health or substance use disorder treatment providers; law enforcement; courts; correctional agencies; probation and parole officials; workforce development, housing, and education providers; faith-based organizations; consumers of behavioral health services and their family members; victim services/representatives; other community-based services; and researchers/evaluators.*

|  |  |  |  |
| --- | --- | --- | --- |
| ***Member NameTitle/Contact Information*** | ***Organization*** | ***Area of Focus*** | ***Workgroup Role*** |
|  |  |  |  |

1. Does the interagency workgroup include people who are BIPOC?

[ ]  Yes (Please describe.)

[ ]  No

1. Are BIPOC members in leadership roles, with decision-making authority, within the workgroup?

[ ]  Yes (Please describe.)

[ ]  No

1. Does the interagency workgroup include people who are most impacted by the criminal justice system?

[ ]  Yes (Please describe.)

[ ]  No

1. Are members who are most impacted by the criminal justice system in leadership roles, with decision-making authority, within the workgroup?

[ ]  Yes (Please describe.)

[ ]  No

1. Are there additional stakeholders that you would like to engage to join the interagency workgroup?

[ ]  Yes (Please describe.)

[ ]  No

1. Are there interagency agreements, MOUs, policies and procedures, or similar documents in place that define responsibilities for interagency workgroup members?

[ ]  Yes (Please describe and attach.)

[ ]  No (Why not?)

1. Are the voices of BIPOC and people impacted by the system, as well as the importance of diversity and inclusion, reflected in the policies and procedures of the workgroup?

[ ]  Yes (Please describe.)

[ ]  No

1. How often will/does the interagency workgroup meet?
2. How does/will the interagency workgroup inform the grant program’s operations and development?
3. Does the interagency workgroup have a relationship to other local- or state-level task forces, councils, or advisory committees?

[ ]  Yes(Please describe.)

[ ]  No

***2.1.3 Information-Sharing Procedures***

It is important to address the complexities of information sharing through an agreement, such as a DUA, MOU, or other policies.[[2]](#footnote-2)

1. Are there agreements in place to facilitate and formalize the sharing of information among all necessary partners and referral sources?

[ ]  Yes (Select all that apply.)

[ ]  Intra-agency policy or protocol

[ ]  MOU

[ ]  DUA

[ ]  Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_

[ ]  No (If no, are you planning to develop information-sharing procedures? Please elaborate.)­­

1. What types of information do you plan to share or are you currently sharing?
2. Are there any barriers to information sharing that might impact the success of your program?

[ ]  Yes (Please describe.)

[ ]  No

1. If applicable, does your jurisdiction use Health Information Exchange (HIE)[[3]](#footnote-3)? If so, select all that apply.

[ ]  No

[ ]  Yes, one HIE

[ ]  Yes, a number of separate HIEs

[ ]  Yes, but law enforcement does not have access

[ ]  Yes, and the law enforcement partner has access

[ ]  Yes, and the behavioral health partner has access

1. What agreements or protocols are in place to ensure the confidentiality of program participants are maintained (e.g., informed consent waivers, non-disclosure agreements)?
2. If you don’t currently have a centralized database system, is there opportunity to create one among necessary parties?

[ ]  Yes (Please describe.)

[ ]  No

**SECTION 3: TARGET POPULATION**

Having a clearly defined target population helps highlight what information you will need to obtain through screening and assessment or other processes to determine program eligibility. Clearly defined target population criteria will also be helpful for partner agencies that are seeking to refer people to your initiative or program, which will increase the likelihood that referrals will be good matches for the program.

**3.1 Target Population and Eligibility Criteria[[4]](#footnote-4)**

1. Please describe your target population. Include all applicable information, such as age, gender, community of focus, correctional facility type, race, ethnicity, charge or offense history, level of risk of recidivism, probation and parole status, etc.
2. Does your program prioritize people with medium to high criminogenic risk and need levels?

[ ]  Yes (Please elaborate.)

[ ]  No

[ ]  N/A

1. What processes have your team put in place to meet the target population requirements?
2. What is the legal status of the target population? (Select all that apply.)

 [ ]  Pre-arrest

 [ ]  Post-arrest/pre-adjudication/pretrial

 [ ]  Post-trial/post-adjudication

 [ ]  Sentenced to probation or parole

 [ ]  Released without supervision

 [ ]  Other \_\_\_\_\_\_ (Please describe.)

1. How many people do you plan to serve? Describe how you selected the target number of people to serve.
2. Is your program:

[ ]  Voluntary

[ ]  Involuntary

[ ]  Both Voluntary & Involuntary (Briefly explain.)

1. Is there a type of mental health condition that you are not able to serve?

[ ]  Yes (Explain what the condition is and why you are not unable to accommodate it.)

[ ]  No

1. Is there a type of intellectual or developmental disability that you are not able to serve?

[ ]  Yes (Please elaborate.)

[ ]  No

[ ]  N/A

1. Is there a level of co-occurring substance use disorder need that you are not able to serve?

[ ]  Yes (Please elaborate.)

[ ]  No

[ ]  N/A

1. Are there any criminal charges or offenses that will be excluded from the grant initiative’s eligibility criteria?

[ ]  Yes (Please elaborate.)

[ ]  No

[ ]  N/A

1. Who is involved in deciding if a person is accepted into the program (e.g., prosecutor, judge, case manager, lieutenant in the jail)?
2. Who will be providing referrals to your program (e.g., client, judge, defense attorney, district attorney, court, case manager, jail classifications officers, etc.)?
3. How does the program receive referrals from each referral source?
4. What outreach methods will you use to ensure referrals are received for the program?
5. What processes are in place to ensure that referrals begin at the start of the project’s implementation?

**3.2 Screening and Assessment Processes**

For your grant program, you will need to identify appropriate candidates, define the terms of participation, and explain these terms to prospective participants. Filling out the following table will help you develop your screening and assessment processes. For this chart, please include tools that are currently in use or that will be implemented in the future to meet grant requirements. If more room is needed, attach a separate document with this information in the same table format.

| **Type of tool** | **Name of validated tool** | **Who administers the tool?** | **When is it administered (e.g., at booking, upon first appointment with behavioral health provider)?**  | **How is it being administered (remotely, in-person, or both)?** | **How are results recorded and stored?** | **Which individuals or agencies have access to the results?**  |
| --- | --- | --- | --- | --- | --- | --- |
| Criminogenic Risk & Needs Assessment |  |  |  |  |  |  |
| Mental Health Screening[[5]](#footnote-5) |  |  |  |  |  |  |
| Substance Use Disorder Screening |  |  |  |  |  |  |
| Mental Health Assessment[[6]](#footnote-6) |  |  |  |  |  |  |
| Substance Use Disorder Assessment |  |  |  |  |  |  |
| Any additional screenings and assessments performed? |  |  |  |  |  |  |

**SECTION 4: EVIDENCE-BASED SERVICES AND SUPPORTS**

Responses to the complex needs of people in the criminal justice system who have mental health conditions and/or co-occurring substance use disorders are more effective with evidence-based services and supports—programs that the Substance Abuse and Mental Health Service Administration says “have been shown to have positive outcomes through high quality research.”[[7]](#footnote-7) Conducting an inventory of services, supports, and trainings can help jurisdictions understand which services and resources are available in their community for their participants and which service gaps remain. Learning more about the range of available service offerings can be helpful to understand which evidence-based practices are included, current capacity to serve participants, service quality, and referral pathways.

**4. 1 Programs and Services**

***4.1.1 Programs, Services, and Grant-Funded Trainings***

1. In the chart below, provide an inventory of the programs and services in your community that are important to your program participants, whether they are funded by the JMHCP grant award or not. These services can be offered by your organization or other entities. Services can include, but are not limited to, evidence-based or promising curricula—such as Crisis Intervention Training (CIT), Seeking Safety, Thinking for a Change, Motivational Interviewing, or cognitive behavioral therapy—and other support services, such as transportation, housing, GED classes, or telemedicine or telepsychiatry.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Service  | CurriculumName (If applicable) | Service Delivery Method[[8]](#footnote-8)  | Service Provider[[9]](#footnote-9) | Available for all program participants? | Length of Service  | Funded by this grant?  | Funded in any part by Medicaid?  |
|  |  |  |  | [ ]  Yes[ ]  No |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
|  |  |  |  | [ ]  Yes[ ]  No |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
|  |  |  |  | [ ]  Yes[ ]  No |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
|  |  |  |  | [ ]  Yes[ ]  No |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
|  |  |  |  | [ ]  Yes[ ]  No |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
|  |  |  |  | [ ]  Yes[ ]  No |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
|  |  |  |  | [ ]  Yes[ ]  No |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |

2. In the chart below, provide an inventory of grant-funded trainings that you plan to hold during the grant cycle. **Skip this question, if not applicable.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Grant-Funded Training Type CurriculumName (If applicable) | Number of People Who Will be Trained | Training-Delivery Method[[10]](#footnote-10)  | Training Provider[[11]](#footnote-11) | Length of Training[[12]](#footnote-12) |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

3. Do you plan to train participants (e.g., in Narcan administration, workforce development)?

[ ]  Yes (Please list the trainings.)

[ ]  No

4. Do you plan to train staff (e.g., in gender-responsive services, trauma-informed care, crisis de-escalation)?

[ ]  Yes (Please list the trainings.)

[ ]  No

***4.1.2 Program Questions***

1. Briefly describe a typical participant’s pathway from the time they enter the grant program until they complete it. (If your jurisdiction has completed a program flow chart, attach it to this document and you may skip this question.)
2. How long, on average, are participants expected to be enrolled in the program? (If not applicable, please indicate.)
3. Does your grant program explore strategies to increase engagement and improve outcomes for people disproportionately impacted by the criminal justice system? If yes, please explain.

1. Are you planning to implement any strategies to increase access to services for BIPOC? If yes, please describe.
2. Do you refer to or provide services that are tailored to specific needs to all people (e.g. regardless of gender, race, culture, or developmental or cognitive abilities)?

[ ]  Yes (How so?)

[ ]  No (Do you plan to do this in the future? If so, please explain.)

1. Does your program provide integrated treatment for co-occurring mental health conditions and substance use disorders?

[ ]  Yes (How so?)

[ ]  No (Do you plan to do this in the future? If so, please explain.)

1. Does your program provide referral(s) for integrated treatment for co-occurring mental health conditions and substance use disorders?

[ ]  Yes (How so?)

[ ]  No (Do you plan to do this in the future? If so, please explain.)

1. Is your program trauma-informed or does it offer trauma specific interventions?

[ ]  Yes (How so?)

[ ]  No (Do you plan to do this in the future? If so, please explain.)

1. Does your program connect participants to peer specialists or recovery coaches?

[ ]  Yes (How so?)

[ ]  No (Do you plan to do this in the future? If so, please explain.)

1. How will the information gleaned from assessments, mentioned in Section 3, inform the development of your [Collaborative Comprehensive Case Plans](https://csgjusticecenter.org/nrrc/collaborative-comprehensive-case-plans/)?

***4.1.3 Health Care Coverage and Other Benefits***

1. Do you, or a grant partner, enroll people in health care coverage?

[ ]  Yes (Please describe the enrollment process, including specifically when the participant is enrolled.)

[ ]  No

1. Do you, or a grant partner, enroll people in other public benefits, such as veterans affairs services, the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC), Supplemental Nutrition Assistance Program (SNAP), Social Security Insurance/Social Security Disability Insurance (SSI/SSDI), Children’s Health Insurance Program (CHIP), or Temporary Assistance for Needy Families (TANF)?

[ ]  Yes *(*Please indicate which public benefit(s) and describe the enrollment process for each, including specifically when the participant is enrolled.)

[ ]  No

1. Do you screen participants for housing insecurity or homelessness, either through a formal screening, or through conversation?

[ ]  Yes

[ ]  No

1. If yes, how do you determine whether someone is housing insecure or experiencing homelessness?

[ ]  Through a formal screening (Please describe the process and attach the form used, if applicable.)

[ ]  Through conversation (Please describe the process.)

[ ]  Other (Please elaborate.)

[ ]  We do not screen for homelessness. (Why not?)

**SECTION 5: DATA COLLECTION, PERFORMANCE MEASUREMENT, AND PROGRAM EVALUATION**

You will need to collect data for various purposes: to measure the current environment, keep track of participants and program activities, measure the grant program’s performance on an ongoing basis, and determine whether the grant program is operating as intended and achieving the intended results (through process and outcome evaluations, respectively). It is important to understand the different uses of data early during your planning process to help you determine the best way to collect, manage, and analyze them.

During the grant period, recipients will be required to submit performance metrics semiannually in JustGrants at <https://justicegrants.usdoj.gov/>. For more information on the reporting schedule and list of performance measure, please visit the Office of Justice Program’s Grant Performance Measurement and Progress Reporting Information Portal at [ojp.gov/performance](http://ojp.gov/performance)and BJA Performance Measures site at <https://bja.ojp.gov/funding/performance-measures>. ​

[*Process Measures at the Interface Between Justice and Behavioral Health Systems: Advancing Practice and Outcomes*](https://csgjusticecenter.org/substance-abuse/publications/process-measures/) provides additional system- and individual-level measures that can be collected for participant identification and referral, program engagement and completion, recovery management, and access measures and systemic responsivity. You may find it helpful to consult this resource when thinking through data collection and measurement with your research partner.

**5.1 Data-Collection and Performance Measurement Strategy**

***5.1.1 Baseline Data***

1. What are the key baseline data metrics[[13]](#footnote-13) that you will focus on as you implement this grant program (e.g., current recidivism, service referral, engagement, retention, or service utilization rates)?
2. Do program managers have the ability to access these baseline data metrics? If so, through what means (e.g., public record, Freedom of Information Act[[14]](#footnote-14))?
3. Has there been any previous analysis of these baseline data metrics?

[ ]  Yes (Please describe.)[ ]  No

[ ]  N/A

***5.1.2 Data Collection***

1. Do you currently collect the data you need for any relevant grant requirements (e.g., the PMT from BJA)?

 [ ]  Yes (Please describe.)

 [ ]  No (How can you improve your data collection to get the data you need?)

1. Do you currently collect the data you need to measure the outcomes of interest to your interagency workgroup or other stakeholders?

[ ]  Yes (Please describe.)

[ ]  No (How can you improve your data collection to get the data you need?)

1. What data collection instruments are used to track your program’s performance (e.g., questionnaires, pre/post-tests, etc.)?
2. Who is responsible for completing the data instruments above? (Check all that apply.)

[ ]  Client

[ ]  Client’s family members

[ ]  Staff

[ ]  Both (Explain.)

1. How are the data collected? (Check all that apply.)

[ ]  Electronically

[ ]  Manually

[ ]  In-person

[ ]  Remote

1. Indicate how the program currently stores data (for example: engagement in services, service plans, referrals to other services, participation, successful and unsuccessful completions, and participant recidivism rates).
2. If your program makes referrals, do you track them?

[ ]  Yes (for how long)?[ ]  No

[ ]  N/A

1. If you answered yes to question 7, do you track engagement in treatment and other services that were made through referrals?

 [ ]  Yes (for how long)?

 [ ]  No

1. If you answered yes to question 7, how are you defining “engagement”?

1. Do you track program referrals by the following: (Select all that apply and indicate when/where this information is collected and by whom.)

[ ]  Race

[ ]  Ethnicity

[ ]  Gender

[ ]  Age

If there are categories above that you do no track,describe why.

1. Do you track services provided by: (Select all that apply and indicate when/where this information is collected and by whom.)

[ ]  Race

[ ]  Ethnicity

[ ]  Gender

[ ]  Age

If there are categories above that you do no track, describe why.

1. Do you track connection to treatment by: (Select all that apply and indicate when/where this information is collected and by whom.)

[ ]  Race

[ ]  Ethnicity

[ ]  Gender

[ ]  Age

If there are categories above that you do no track, describe why.

1. How will the collected data be shared among relevant agencies and partners?

***5.1.3 Performance Measures***

1. Is your grant program (and/or jurisdiction) focused on identifying frequent or repeated users of multiple systems?[[15]](#footnote-15) How do you define this concept for your grant program? What specific outcomes do you hope to achieve regarding people who repeatedly encounter law enforcement and other systems? (If not applicable, please indicate.)
2. How do you define the “successful completion” of the program?
3. List the key criminal justice data that will be used to track your program’s performance? Who is responsible for tracking these metrics?
4. List the key mental health conditions and/or co-occurring mental health conditions and substance use disorder data that will be used to track your program’s performance? Who is responsible for tracking these metrics?
5. What is your definition of recidivism?[[16]](#footnote-16) (Select all that apply.)

[ ]  Re-arrest

[ ]  New offense

[ ]  Conviction

[ ]  Technical violation

[ ]  Reincarceration

[ ]  Other (Please specify.)

1. How do you plan to track participants’ recidivism rates? Note: if this question does not apply to your program, please skip it.
2. For what period of time will you track recidivism among program participants? Note: if this question does not apply to your program, please skip it.

[ ]  Six months

[ ]  One year

[ ]  Two years

[ ]  Three years

[ ]  Five years

[ ]  Other (Please specify.)

1. What data will your program collect to evaluate the program?
2. What are the start and end dates for when data will be collected to evaluate participant and program outcomes?[[17]](#footnote-17)12

**5.2 Program Evaluation**

1. Are you conducting an evaluation of your grant program? (Select all that apply.)

[ ]  Yes, a process evaluation

[ ]  Yes, an outcome evaluation

[ ]  No (Skip to Section 6: Sustainability)

[Here](https://www.cdc.gov/std/program/pupestd/types%20of%20evaluation.pdf) you can find information about the most common types of evaluations and how they can be used.

1. Are you partnered with an evaluator/researcher to complete this project?

[ ]  Yes (Who are they? Are they internal or external?)

[ ]  No (Please explain.)

1. How often and by what method(s) do you plan to communicate with your evaluator/research partner?
2. With whom do you intend to share evaluation data?
3. How will you use program evaluation data to inform program operations?
4. How frequently will you use the evaluation data to inform program operations?

**SECTION 6: SUSTAINABILITY**

This section focuses on strategies for achieving long-term sustainability for your program through focused efforts initiated at the beginning of the grant. Sustainability can be difficult to achieve and becomes even more challenging if neglected until the grant funding is coming to an end; developing a sustainability plan at the onset is essential to building a strong program that can continue after the JMHCP funding concludes.

**6.1 Program Sustainability**

1. What goals does your program seek to achieve after the life of the grant?

2. List the activities that will lead to meeting those goals after the life of the grant.

3. List the key stakeholders and partners who will be involved in sustaining your program

after the life of the grant, and by what means they plan to support this effort (e.g., financially, building collaborations, politically).

4. What key data metrics do you need to track for stakeholders to support sustainability

 of the program (e.g., tracking cost savings)?

5. List any funding sources available to sustain the program after the life of the grant (e.g.,

 foundation, federal/state [such as Medicaid] or local funding, private donation, etc.).

6. Do you have a “champion” of your project work that can support your sustainability

 efforts?

**SECTION 7: TECHNICAL ASSISTANCE (TA) PLAN**

Now that you have completed all the other sections of the guide, we would like you and your team to reflect on any areas of program development where you would be interested in receiving assistance (e.g., refining evaluation plan, training and supervising staff, developing a process and template to be used for case planning, addressing racial equity, identifying sustainability).

You and your team will work with your TA coach to develop a TA plan to include goals and action steps to help the grant project move forward and meet deliverables on time. Your comments in this section will help your TA coach develop your team’s TA plan.

**7.1 TA Goals**

1. Please identify program development and/or implementation areas where you may want assistance:

a.

b.

2. What challenges do you anticipate encountering for each of the areas identified?

3. Are there any unique aspects/achievements of your project that you would like to share?

1. This table was adapted from Policy Research Associates, *The Sequential Intercept Model* (Delmar, NY: Policy Research Associates, 2017), 2. [↑](#footnote-ref-1)
2. Note: The implementation team should consult with the appropriate legal authorities when drafting all information-sharing agreements to ensure full compliance with applicable federal, state, and local laws and to protect confidentiality. Collaborating partners must have a clear understanding of what information can and cannot be shared. [↑](#footnote-ref-2)
3. HIE is the mobilization of health care information electronically across organizations within a region, community or hospital system. HIE provides the capability to electronically move clinical information among different health care information systems. The goal of HIE is to facilitate access to and retrieval of clinical data to provide safer and more timely, efficient, effective, and patient-centered care. [↑](#footnote-ref-3)
4. If you are struggling with how to respond to any of these questions, ask your TA coach for additional resources to help you define your target population. [↑](#footnote-ref-4)
5. A screening tool is a standardized instrument that is designed to identify the potential presence of a mental health condition or substance use disorder. These tools do not provide diagnostic information nor do they provide guidance on the severity of any mental health condition or substance use disorder. They are typically used as a preliminary step in determining if further, more comprehensive assessment is necessary. Mental health condition/substance use disorder screening tools do not need to be administered by a licensed mental health professional. [↑](#footnote-ref-5)
6. A mental health assessment tool gathers information about a person with the purpose of making a diagnosis, providing appropriate treatment referrals, and using this information as part of case planning. A licensed mental health professional *must* administer the mental health condition/substance use disorder assessment tools. [↑](#footnote-ref-6)
7. “Behavioral Health Treatments and Services,” Substance Abuse and Mental Health Services Administration, accessed November 23, 2021, <https://www.samhsa.gov/find-help/treatment>. [↑](#footnote-ref-7)
8. Service delivery can come in many forms. Examples include individual counseling, group counseling, or telehealth. [↑](#footnote-ref-8)
9. This should Include the name of the provider and whether the provider is in house, contracted, or engaged via referral. [↑](#footnote-ref-9)
10. Examples may include in-person, two-day training, etc. [↑](#footnote-ref-10)
11. Be sure to Include the name of the trainer and whether the provider is in house, contracted, or other. [↑](#footnote-ref-11)
12. Be sure to include how many hours and days the training will take. For example, this training is a 5 hour training that takes place over 6 days for a total of 30 hours. [↑](#footnote-ref-12)
13. Note: Baseline data metrics provide you with the current figures and trends against which you will measure all subsequent changes implemented by your program. [↑](#footnote-ref-13)
14. “The basic function of the Freedom of Information Act is to ensure informed citizens, vital to the functioning of a democratic society.” See “FOIA.gov,” Office of Information Policy U.S. Department of Justice, accessed on November 23, 2021, <https://www.foia.gov>. [↑](#footnote-ref-14)
15. This population often includes people with severe mental health needs who are frequent users of costly behavioral health services (i.e., emergency room visits, inpatient substance detoxification, or inpatient psychiatric hospitalization) and who cycle repeatedly through the justice system. [↑](#footnote-ref-15)
16. Recidivism is often defined in many different ways, and states and localities calculate recidivism rates using varying methodologies. For example, some measurements of recidivism account only for reincarceration for new offenses, while others include reconvictions that do not result in a prison or jail sentence, or probation/parole revocations for technical violations or new offenses. Please consider what definition you will use and what it will encompass (e.g., does your definition of recidivism include re-arrest, reconviction, reincarceration, parole/probation violation, etc.?). [↑](#footnote-ref-16)
17. 12 The tracking period must allow for uniform “time at risk to recidivate” for all participants tracked. For example, all participants in a group have at least one year of exposure to street time after completing the program or upon release from prison (for prison-based programs) when determining the one-year recidivism rate. [↑](#footnote-ref-17)